

# URBAN GREENING PROGRAM

## FINAL GUIDELNES

FUNDED BY CALIFORNIA CLIMATE INVESTMENTS

STATE OF CALIFORNIA  
CALIFORNIA NATURAL RESOURCES AGENCY



March 2017



## TECHNICAL ASSISTANCE WORKSHOPS

**March 13, 2017**

**9 am - 12 pm**

**SACRAMENTO**

Resources Building Auditorium

1416 9<sup>th</sup> Street, 1<sup>st</sup> Floor

Sacramento, CA 95814

(Webcast available via our website)

(Webcast available for the first hour only)

Parking Information:

<http://www.cityofsacramento.org/Public-Works/Parking-Services>

**March 16, 2017**

**1 pm – 4 pm**

**COACHELLA**

Coachella Corporate Yard

53462 Enterprise Way

Coachella, CA 92236

**March 20, 2017**

**10 am – 1 pm**

**TRACY**

City of Tracy Public Works

BSC Training Room

520 N. Tracy Boulevard, Boyd Center – Gate 3

Tracy, CA 95376

Free On-Site Parking Available

**March 27, 2017**

**10 am – 1 pm**

**OAKLAND**

Temescal Beach House

6500 Broadway

Oakland, CA 94618

Free On-Site Parking Available

(If using GPS, make sure to use the address above,  
not Temescal Park.)

**March 14, 2017**

**10 am -1 pm**

**FRESNO**

Caltrans Manchester Office

Yosemite Room 145

2015 East Shields Avenue, Suite 100

Fresno, CA 93726

Free On-Site Parking Available

**March 17, 2017**

**9am-12pm**

**RIVERSIDE**

CalFire Southern Operations Center

2524 Mulberry Street, Media Room

Riverside, CA 92501

Free On-Site Parking Available

**March 24, 2017**

**11 am – 2 pm**

**SAN DIEGO**

San Diego Training Center

5500 Overland Avenue, Building 5500

1<sup>st</sup> Floor Conference Room

San Diego, CA 92123

**March 28, 2017**

**11 am – 2 pm**

**LYNWOOD**

Lynwood Civic Center Complex

Bateman Hall

11331 Ernestine Avenue

Lynwood, CA 90262

Free On-Site Parking Available

**CALL (916) 653-2812 TO RSVP OR VIA EMAIL AT:**

**[urbangreening@resources.ca.gov](mailto:urbangreening@resources.ca.gov)**

Due to the interactive format of the workshops, only the first hour of the Sacramento event will be webcast. Participants are encouraged to attend the workshop in person to benefit from the networking opportunities and access to technical assistance. The webcast platform is limited to 100 people. If joining by webcast, please limit the number of online participants to one per organization. The webcast can be found here: <http://resources.ca.gov/grants/urban-greening/>

The Guidelines include information to assist you in preparing an application for funding. Please be sure to read them in their entirety for important information on project eligibility, evaluation criteria and submission requirements.

For general application process questions, contact the California Natural Resources Agency at:

**Phone:** (916) 653-2812

**Email:** [urbangreening@resources.ca.gov](mailto:urbangreening@resources.ca.gov)

**Website:** <http://resources.ca.gov/grants/urban-greening/>

## HOW TO SUBMIT

**Submit Online Applications:** Applications must be submitted through the California Natural Resources Agency's System for Online Application Review (SOAR) found at: <https://soar.resources.ca.gov>

The SOAR system will not accept submissions for this program after **5:00 pm on May 1, 2017**.

A printed original (with wet signature) and one copy must also be sent to the Bonds and Grants Unit with a postmark no later than **May 3, 2017**. Send to:

**California Natural Resources Agency  
Attn: Urban Greening Coordinator  
1416 Ninth Street, Suite 1311  
Sacramento, CA 95814**

Applicants will need to sign up for a SOAR user account. A detailed guide on how to use SOAR and submit applications is located on our website at: <http://resources.ca.gov/grants/>.

## SOAR System Questions

For technical assistance with the SOAR website, please contact the SOAR help desk:

8:00 AM - 5:00 PM Monday thru Friday.

Phone Number: (916) 653-6138 Email: [SOAR.ADMIN@resources.ca.gov](mailto:SOAR.ADMIN@resources.ca.gov)

A Help Desk representative will contact you regarding your email or call as soon as they are available to do so.

When contacting the SOAR help desk, please provide the following

- ✓ Proposal Identification Number (PIN) assigned to the application
- ✓ Name of the funding program (Urban Greening)
- ✓ Short description of the problem, including where in the application the problem is occurring
- ✓ A screen shot of the error received, if applicable

## TABLE OF CONTENTS

TITLE	PAGE
Introduction .....	1
Background.....	1
Quantification .....	2
Statutory Requirements .....	2
Priority Considerations .....	4
Other Requirements .....	5
Funding Allocation and Grant Amounts .....	5
Tribal Consultation .....	5
Selection Process .....	6
Project Administration .....	6
State Audit and Accounting Requirements .....	9
One Page Concept Proposal .....	10
Preparing Your Grant Application Package .....	10
Eligibility Checklist .....	12
Disadvantaged Community Checklist .....	13
Application Form .....	14
Brief Project Summary .....	15
Project Evaluation .....	15
Project Data Questions .....	16
Statutory and Program Requirements .....	17
Statutory and Program Priorities .....	22
Disadvantaged Communities .....	24
Statewide Park Development and Community Revitalization Act .....	24
Additional Project Characteristics.....	25
Project Readiness .....	25
Organizational Capacity .....	26
Required Supporting Documentation .....	27
Appendices .....	30
A. Application Checklist .....	30
B. Available Resources .....	31
C. Environmental Compliance .....	33
D. Disadvantaged Communities Tools and Criteria .....	34
E. Site Control/Land Tenure Requirements .....	36
F. Memorandum of Unrecorded Grant Agreement/ Deed .....	37
G. Funding Recognition .....	38
H. Resolution Templates .....	40
I. Eligible Costs .....	43
J. Cost Estimate .....	45
K. Willing Seller Letter .....	47
L. Property Data Sheet .....	48
M. Project Permit/Approval Status .....	49
N. Sample Grant Agreement .....	50
O. Senate Bill 859 .....	58
P. Definitions .....	60

## **INTRODUCTION**

Signed into law in 2006, Assembly Bill (AB) 32, also known as the California Global Warming Solutions Act of 2006 required a sharp reduction of greenhouse gas (GHG) emissions to 1990 levels by 2020. AB 32 was the first program to take a comprehensive, long-term approach to addressing climate change to improve the environment and natural resources while maintaining a robust economy. Recently signed into law, Senate Bill (SB) 32 confirms the State's continued commitment to reducing GHG emissions by directing emissions reductions to meet a target of 40% below 1990 levels by 2030.

Signed into law on September 14, 2016, SB 859 (Chapter 368, Statutes of 2016) authorized the expenditure of \$1.2 billion in Cap and Trade revenues, also known as the Greenhouse Gas Reduction Fund (GGRF), for projects aimed to reduce GHG emissions. The California Natural Resources Agency (Agency) was allocated \$80 million to its Urban Greening Program specifically for green infrastructure projects that reduce GHG emissions and provide multiple benefits.

Greenhouse Gas Reduction Funds have separate governing statutes: AB 1532 (Pérez, Chapter 807, Statutes of 2012), SB 535 (De León, Chapter 830, Statutes of 2012), and SB 1018 (Budget and Fiscal Review Committee, Chapter 39, Statutes of 2012) provide the framework for how the GGRF must be appropriated and expended. All GGRF expenditures must achieve reductions of greenhouse gas emissions. In addition to greenhouse gas emissions reduction, goals derived from AB 1532, established for the investment of auction proceeds, and SB 535, requirements for allocating funds to benefit disadvantaged communities, are presented below:

- Maximize economic, environmental, and public health benefits to the State;
- Foster job creation by promoting in-State greenhouse gas emission reduction projects carried out by California workers and businesses;
- Complement efforts to improve air quality;
- Direct investment toward the most disadvantaged communities and households in the State;
- Provide opportunities for businesses, public agencies, nonprofits, and other community institutions to participate in and benefit from statewide efforts to reduce greenhouse gas emissions;
- Lessen the impacts and effects of climate change on the State's communities, economy, and environment;
- Allocate at least 25% of the available proceeds to projects that provide benefits to disadvantaged communities; and
- Allocate at least 10% of the available proceeds to projects located within disadvantaged communities.

## **BACKGROUND**

Over the years, Agency has administered a suite of programs including the California River Parkways Program, Environmental Enhancement and Mitigation Program, and the Strategic Growth Council's Urban Greening Program under Proposition 84. These programs funded projects that provided a wide variety of benefits such as environmental, health, and community revitalization throughout the State. The Urban Greening Program, funded by the GGRF, continues the legacy of these programs but with a specific focus of achieving greenhouse gas reductions. Consistent with AB 32, the Urban Greening Program will fund projects that reduce greenhouse gases by sequestering carbon, decreasing energy consumption and reducing

vehicle miles traveled, while also transforming the built environment into places that are more sustainable, enjoyable, and effective in creating healthy and vibrant communities. These projects will establish and enhance parks and open space, using natural solutions to improving air and water quality and reducing energy consumption, and creating more walkable and bike-able trails.

These Guidelines establish the administrative and evaluation criteria for this competitive program. Grants will be awarded on a competitive basis using evaluation criteria described on page 15. This program emphasizes, and gives priority to, projects that are located within and benefit the State's disadvantaged communities.

## **QUANTIFICATION**

The Urban Greening Program is responsible for reporting to the California Air Resources Board (ARB) GHG emission reductions resulting from funded projects in accordance with an ARB approved quantification methodology and Funding Guidelines for Agencies that administer California Climate Investments (December 21, 2015) and Funding Guidelines Supplement for FY 2016-17 Funds. All projects are required to show a net GHG benefit and provide multiple other benefits. In order to quantify GHG emission reductions, projects must include **at least one** of the following project activities:

1. Sequester and store carbon by planting trees
2. Reduce building energy use by strategically planting trees to shade buildings
3. Reduce commute vehicle miles traveled by constructing bicycle paths, bicycle lanes or pedestrian facilities that provide safe routes for travel between residences, workplaces, commercial centers, and schools.

ARB's quantification methodology for the Urban Greening Program can be found at: [www.arb.ca.gov/cci-quantification](http://www.arb.ca.gov/cci-quantification).

## **STATUTORY REQUIREMENTS (SB 859)**

Eligible Applicants - Grant Funds will be awarded to a city, county, special district, nonprofit organization, or an agency or entity formed pursuant to the Joint Exercise of Powers Act (Chapter 5 (commencing with Section 6500) of Division 7 of Title 1) if at least one of the parties to the joint powers agreement qualifies as an eligible applicant, notwithstanding the Joint Exercise of Powers Act.

Projects – In addition to reducing greenhouse gas emissions, SB 859 requires all projects to achieve measurable benefits. Per statute, all projects must do **at least one** of the following-

1. Acquire, create, enhance, or expand community parks and green spaces, **and/or**
2. Use natural systems or systems that mimic natural systems to achieve multiple benefits.

See Appendix O for the complete SB 859 text.

## **Eligible Urban Greening Projects**

Eligible urban greening projects will reduce GHG emissions and provide multiple additional benefits, including, but not limited to, a decrease in air and water pollution or a reduction in the consumption of natural resources and energy. Eligible projects will result in the conversion of an

existing built environment into green space that uses natural and green infrastructure approaches to create sustainable and vibrant communities. A competitive project will maximize opportunities to reduce GHG emissions through project design and implementation, and will incorporate green infrastructure solutions that improve the sustainability and function of existing urban hardscapes and landscapes.

Examples of eligible urban greening projects include, but are not limited to, the following:

- Establishment, enhancement, and expansion of neighborhood parks and community spaces
- Greening of public lands and structures, including schoolyards, and which may include incorporation of riparian habitat for water capture and provide for other public and wildlife benefits
- Green streets and alleyways
- Non-motorized urban trails that provide safe routes for travel between residences, workplaces, commercial centers, and schools
- Urban heat island mitigation and energy conservation efforts

All eligible projects must result in GHG reductions by including **at least one** of the following activities:

1. Sequester and store carbon by planting trees
2. Reduce building energy use by strategically planting trees to shade buildings
3. Reduce commute vehicle miles traveled by constructing bicycle paths, bicycle lanes or pedestrian facilities that provide safe routes for travel between residences, workplaces, commercial centers, and schools.

### **Examples of Ineligible Projects or Elements**

The items below provide examples of projects and elements that are not eligible under this program. (This is **not** a comprehensive list.)

- Projects that do not quantify a reduction in GHG emissions using ARB's approved methodology.
- Projects that do not include the planting of trees and/or a trail that provides active transportation opportunities.
- Projects that plant invasive plants or trees, or plant for aesthetic purposes only.
- Projects to acquire property that cannot be purchased at or below fair market value.
- Acquisition-only projects that do not include development into an urban greening project.
- Projects that include non-permeable surfaces, including concrete or asphalt, except when they are utilized as part of the project to reduce greenhouse gas emissions related to vehicular miles travelled or other sources.
- Projects contingent on future acquisition for implementation.
- Projects that are intended to correct problems caused by inadequate maintenance.
- Applications that include more than one project. However, more than one application can be submitted by an applicant.
- Projects where applicant cannot demonstrate satisfactory permission to develop and maintain site at the time of application.
- Educational or training programs.

- Acquisitions involving eminent domain.
- Projects that include planting trees larger than 15 gallon.

## **PRIORITY CONSIDERATIONS**

The following are priorities in awarding grants. In evaluating projects, the State may also consider factors including, but not limited to, geographic distribution of funds, disadvantaged community status per SB 535 and potential project benefits, as well as partial funding.

**Statutory Priorities (SB 859)** – Additional points will be given to projects that meet **two** of the following:

1. Provides park or recreational benefits to a critically underserved community or disadvantaged community.
2. Proposed by a critically underserved community or disadvantaged community.
3. Develops partnerships with local community organizations and businesses in order to strengthen outreach to disadvantaged communities, provides access to quality jobs for residents of disadvantaged communities, or provides access to workforce education and training.
4. Uses interagency cooperation and integration.
5. Uses existing public lands and facilitates the use of public resources and investments, including schools.

**Disadvantaged Communities** – Per SB 859, disadvantaged community means a community identified pursuant to Section 39711 of the Health and Safety Code (SB 535 disadvantaged community) or pursuant to Section 75005 of the Public Resources Code (a community with a median household income less than 80% of the statewide average.) SB 859 directs Agency to allocate at least 75 percent of the funding available to projects located in, and that provide benefits to, disadvantaged communities.

SB 535 (Chapter 830, Statutes of 2012) directs State and local agencies to make significant investments that improve California’s most vulnerable communities. The statute requires that the GGRF investment plan allocates a minimum of 25 percent of available monies to projects that provide benefits to disadvantaged communities; a minimum of 10 percent to projects located within disadvantaged communities. SB 535 directs the California Environmental Protection Agency (CalEPA) to identify disadvantaged communities based on geographic, socioeconomic, public health, and environmental hazard criteria. To inform its decision, CalEPA used the California Communities Environmental Health Screening Tool (CalEnviroScreen 2.0), to identify census tracts disproportionately burdened by and vulnerable to multiple sources of pollution. For the purposes of the GGRF, CalEPA defines disadvantaged communities as the top 25% highest scoring census tracts from CalEnviroScreen 2.0.

See Appendix D for instructions on how to access maps which identify disadvantaged communities per SB 535 or Section 75005 of the Public Resources Code and the criteria for determining if the project meets the SB 535 approach.

To facilitate the statutory mandate for 25 percent of all GGRF expenditures to benefit disadvantaged communities pursuant to SB 535, this program will intend to award a minimum of 75 percent of all grant funding for that purpose. For this program as a whole, projects that meet the SB 535 or SB 859 approaches to disadvantaged communities will be more competitive.



**Statewide Park Development and Community Revitalization Act of 2008** – This program will maximize the expenditure of funds made available from this Act (Chapter 3.3 commencing with Section 5640) of Division 5 of the Public Resources Code.

## **OTHER REQUIREMENTS**

Projects must:

1. Comply with the California Environmental Quality Act (CEQA), Division 13 (commencing with Section 21000).
2. Be acquired from a willing seller (acquisitions).
3. Comply with all labor codes.
4. Provide public access, where feasible.
5. Be located in an urban area. For the purposes of this program, projects that do not qualify as a disadvantaged community under SB 535 (CalEnviroScreen 2.0), an urban area is defined as a geographic area designated or defined as urban by an applicable plan covering the project area, including, but not limited to general plans, specific plans, or community plans.
6. Provide direct benefits to the urban area such as **walkability** and/or **functionality** (i.e., no impediments such as a freeway with no under/over passing, river with no pedestrian crossing, storm water capture that does not directly benefit the urban area, etc.)
7. Track and report performance metric data about GHG reductions and other co-benefits. (See Project Reporting and Performance)
8. Engage with the local community to develop the project.
9. Comply with the Model Water Efficient Landscape Ordinance (MWELO) or local agency landscape water ordinance (if as strict or stricter) and use low water, drought tolerant plantings (This program does not fund high water plantings).
10. Projects must provide multiple benefits (economic, environmental, and social) to the community. Such benefits must be optimized.

Website addresses and links to legislation and other available resource and reference materials may be found in Appendix B.

## **FUNDING ALLOCATION AND GRANT AMOUNTS**

Approximately \$76 million is available for urban greening projects. The Agency anticipates one funding cycle; however, funding cycles are contingent upon the number of competitive applications. There are no minimum or maximum grant amounts for this program.

Match funds are not required for this program. However, projects that leverage other sources of funds may be more competitive.

## **TRIBAL CONSULTATION**

Agency recognizes the need for consultation regarding projects that affect California tribal communities. As such, applicants should make every effort to involve Native American Tribes or stakeholder groups as appropriate.

## **SELECTION PROCESS**

1. Applicants submit application packages through the System for Online Application Review (SOAR). See page ii for more information.
2. Applicants submit one hardcopy original (with wet signature) and one copy of the complete grant application package to the State by the deadline.
3. Applications reviewed for completeness and eligibility.
  - Incomplete or ineligible applications are subject to being withdrawn from the competitive process. (An application containing more than one project is not eligible for funding. However, applicants may submit more than one application per grant round.)
4. Applications evaluated using the established criteria and any additional funding considerations.
5. Site visits scheduled and conducted prior to funding decisions.
6. Recommendations submitted to the California Secretary for Natural Resources.
7. Final funding decisions determined and announced.

All information contained in the grant application is confidential until the grant awards are announced.

The State reserves the right to reject an applicant during the grant review period that is in violation of law or policy at any other public agency. Potential violations include, but not limited to, being in default of their performance requirements in other contract or grant agreements issued by the State, being engaged in or suspected of criminal conduct that could poorly reflect on or brings discredit to the State, or failing to have all required licenses to perform the State functions.

The State further reserves the right to reject any applicant who has a history of performance issues with past grants or other agreements with any public entity, including the grantor.

### **Conflict of Interest**

All applicants and individuals who participate in the review of submitted applications are subject to state and federal conflict of interest laws. Any individual who has participated in planning or setting priorities for a specific solicitation over and above the public comment process or who will participate in any part of the grant development and negotiation process on behalf of the public is ineligible to receive funds or personally benefit from funds through that solicitation. Failure to comply with conflict of interest laws, including business and financial disclosure provisions, will result in the application being rejected and any subsequent grant agreement being declared void. Other legal actions may also be taken. Applicable statutes include, but are not limited to, California Government Code section 1090 and Public Contract Code sections 10365.5, 10410, and 10411.

## **PROJECT ADMINISTRATION**

### **General Overview of Grant Process after Grants are Awarded**

1. Grants Administrator works with Grantee to develop grant agreement.
2. State sends grant agreement and materials for project grant administration to grantee.
3. Grantee signs and returns all required copies to the State (one fully executed original will be returned to the Grantee).

4. For acquisitions, grantee submits appraisal, purchase documents, etc., for Department of General Services' (DGS) review. DGS appraisal review fees are an eligible cost. For estimating purposes, applicants should use \$10,000 per escrow as an estimate in their grant proposal to cover these fees.
5. For development projects, grantee will submit final site control documents adequate to the State before **any** reimbursement will be made.
6. Grantee commences preliminary work (planning, design, permitting, CEQA, etc.) on the project and submits requests for reimbursements, as applicable.
7. Grantee submits **final** site plan, timeline and cost/budget estimate (as applicable) for State review prior to commencing with construction.
8. Grantee submits CEQA compliance documentation. No construction costs will be reimbursed until CEQA is completed to the satisfaction of the State.
9. Grantee notifies the State of any public events related to the initiation of project construction.
10. Grantee posts signage acknowledging source of funds.
11. Grantee commences construction work on the project and may submit payment requests for reimbursement of eligible project expenditures.
12. The State conducts, as needed, periodic project site visits and request periodic progress reports from the Grantee.
13. Grantee completes project and submits project completion packet (to be provided under separate cover) to the State.
14. State makes final project inspection, deems the project "complete," and approves final payment.
15. The grant may be audited as frequently as annually during the course of the project and for the project life after the project is completed.
16. Projects are subject to compliance inspections for the land tenure time period indicated in Appendix E.

### **Changes to Approved Project**

A grantee seeking changes or amendments to an approved project must obtain approval from the State. Changes in the project scope must continue to meet the need and net GHG benefit cited in the original application in order to be approved. The grantee jeopardizes funding should changes be made without approval.

### **Eligible Costs**

Direct project-related construction and non-construction costs incurred during the project performance period specified in the grant agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the project performance period and indirect costs are not eligible (See Appendix I for further information on Eligible Costs).

### **Site Visits**

The State may make periodic visits to the project site, including a final inspection of the project. The State will determine if the work is consistent with the approved project scope and ensure compliance with the signage requirements.

## Payment of Grant Funds

Funds will not be disbursed until there is a fully executed grant agreement between the State and the Grantee.

### Development Projects

- Payments will be made on a **reimbursement** basis (i.e., the grantee pays for services, products or supplies, documents that payee has received payment, and is reimbursed by the State).
- Funding for proposed project implementation/construction is contingent upon CEQA completion.
- Periodic reimbursement may be contingent upon satisfactory documentation of stated objectives in the application and administrative benchmarks (e.g., collaboration efforts, outreach, funding acknowledgement signs, final design, etc.).
- At the sole discretion of the State, ten percent (10%) of the amounts submitted for reimbursement will be retained and released as a final payment upon satisfactory project completion.

### Acquisition Projects

- Acquisitions must include development into an urban greening project as part of the project being funded.
- State-approved purchase price (not to exceed fair market value), together with eligible acquisition costs may be advanced into an escrow account within 60 days of close of escrow. At the sole discretion of the State, all disbursements are subject to a ten percent (10%), or \$10,000, retention.
- The remainder of the Grant, if any, shall be available on a reimbursable basis for other eligible costs.

## Fiscal Sponsor

If an applicant is unable to carry the financial responsibility of a reimbursement program, it may partner with a fiscal sponsor. The fiscal sponsor may be either a local public agency or 501 (c)(3) non-profit organization.

## Loss of Funding (Not a complete list)

The following are examples of actions that may result in a Grantee's loss of funding

- Grantee fails to obtain a Grant Agreement.
- Grantee loses willing seller(s).
- Grantee fails to complete the funded project.
- Grantee fails to submit all documentation within the time period specified in the grant agreement.
- Grantee fails to submit evidence of CEQA compliance within allowed time as specified by the grant agreement.
- Property cannot be acquired at or below approved **fair market value** (pursuant to appraisal review by the Department of General Services).
- Grantee is unable to secure adequate land tenure/site control (see Appendix E).
- Grantee changes project scope without approval of the State or the modified project doesn't meet intent of grant.

## **Project Reporting and Performance**

All grantees are required to provide periodic reports to Agency detailing the current status of the project. Grantee will be responsible for providing quantitative, as well as qualitative, project results. Reported project information will be publicly available on ARB's website. All reports shall, at a minimum, include the following items:

- Project name
- Project location
- Total grant award
- Quantified GHG reduction results
- Quantified energy saving results
- Disadvantaged community definition used and census tract information
- Disadvantaged community benefits for SB 535 definition per ARB Funding Guidelines
- Quantified co-benefit results
- Anticipated outcomes in the next quarter
- Project timing

Furthermore, 30 percent of awarded projects will be required to provide an annual project outcome report for up to five years after project completion. Projects selected for project outcome reporting may be based on geography, project type, co-benefits, and disadvantaged community status.

## **STATE AUDIT AND ACCOUNTING REQUIREMENTS**

### **Audit Requirements**

Urban Greening projects are subject to audit by the State of California annually following the final payment of grant funds and for the project life. If the project is selected for audit, advance notice will be given. The audit shall include all books, papers, accounts, documents, or other records of the grantee, as they relate to the project for which the funds were granted. All project expenditure documentation should be available for an audit whether paid with grant funds or other funds.

The grantee must have the project records, including the source documents and evidence of payment, readily available, and provide an employee with knowledge of the project to assist the auditor. The grantee must provide a copy of any document, paper, record, etc., requested by the auditor.

### **Accounting Requirements**

The Grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, employee paystubs and time cards, evidence of payment, etc.
- Provides accounting data so the total cost of each individual project can be readily determined.

### **Records Retention**

Project records must be retained for the project life (See the Urban Greening Expenditure Record for the project life for each project activity. A link to the expenditure record is located in Appendix

B). Grantees are required to keep source documents for all expenditures related to each grant for the project life. A project is considered complete upon receipt of final grant payment from the State.

### **ONE-PAGE CONCEPT PROPOSAL (OPTIONAL)**

Applicants may submit a one-page concept proposal. **Though not required**, this process will help the applicant determine if the project is a good fit for the grant program before completing a full application. This proposal should be concise to give reviewers a snapshot of the project. In depth explanations may be provided later in the actual application. Feedback will be provided generally within 1-2 weeks. The proposal should include the following:

- Applicant Name
- Project Name
- Brief Project Description (focus on tasks for which funding is requested).
- How does the project meet quantification (GHG project activities) and statutory requirements?
- How does the project meet statutory priorities?
- How was the project area determined to be urban?
- If applying as a disadvantaged community, how was this status determined?

In order to be reviewed, the one-page concept proposal must be submitted to [urbangreening@resources.ca.gov](mailto:urbangreening@resources.ca.gov) no later than April 11, 2017.

### **PREPARING YOUR GRANT APPLICATION PACKAGE**

Complete the online application (see page ii), upload all attachments, and print two hardcopies (1 original, 1 copy) to be sent in by mail. Hardcopies should be bound with a binder clip only and all pages should be printed on 8½ x 11 inch paper.

The grant application package includes:

1. Eligibility Checklist: completed and printed directly from SOAR (see page 12)
2. Disadvantaged Community Eligibility Checklist: completed and printed directly from SOAR (see page 13)
3. Application Form: completed and printed directly from SOAR (see page 14)
4. Brief Project Summary: completed and printed directly from SOAR (see page 15)
5. Project Data: answered in and printed directly from SOAR (see page 16)
6. Project Evaluation Questions: answer all project evaluation questions that apply to the proposed project in a separate Word file or PDF (see page 17) and upload the attachment in SOAR. A template is available at <http://resources.ca.gov/grants/urban-greening/>.
7. Required Supporting Documentation: (see page 27 for a detailed list). All supporting documents are also listed in the application package checklist and uploaded directly into SOAR (see page 30).

The Project Evaluation Questions are the primary basis for the Committee's evaluation. It provides details on the Urban Greening Project and should demonstrate to the Committee how effectively the project meets the goals of the Urban Greening program.

Applicants must answer the questions in the Statutory and Program Requirements and Statutory and Program Priorities sections based on their selections. In addition, all applicants must answer each question in the following sections: Disadvantaged Communities, Statewide Park and Community Revitalization Act of 2008, Additional Project Characteristics, Project Readiness, and Organization Capacity.

Applicants should respond to questions in the order listed and clearly label each question and answer.

- A. Limit the Project Evaluation Questions to 10 pages (not including sections printed directly from SOAR or supporting documents).
- B. Use 8 ½ x 11" paper with a 12-point easy to read font.
- C. Upload the Project Evaluation Questions directly into SOAR.

## ELIGIBILITY CHECKLIST

To help determine eligibility, prior to completing a full application, answer the following questions.

	Criteria	Yes/No
1.	Is the applicant one of the entities listed below? (Mark applicable)	
	City	
	County	
	Non-profit organization 501 (c) (3)	
	Joint Powers Authority	
	Special District	
2.	Does the project meet <b>at least one</b> of the three GHG reduction project activities listed on page 2?	
	Sequester and store carbon by planting trees	
	Reduce building energy use by strategically planting trees to shade buildings	
	Reduce commute vehicle miles traveled by constructing bicycle paths, bicycle lanes or pedestrian facilities that provide safe routes for travel between residences, workplaces, commercial centers, and schools.	
3.	Does the project meet <b>at least one</b> of the statutory requirements listed on page 2?	
	Acquire, create, enhance or expand community parks and green spaces	
	Use natural systems or systems that mimic natural systems to achieve multiple benefits	
4.	Is the project located within a disadvantaged community, per SB 535 (CalEnviroScreen 2.0)?	
4.a.	If not, is the project located in an urban area as defined? If yes, list the applicable plan covering the project area that designates or defines the area as urban (May include, but not limited to, general plans, specific plans, or community plans.)  Plan Name:	



## DISADVANTAGED COMMUNITY ELIGIBILITY CHECKLIST

A minimum of 75% of the available fund will be awarded to projects located within and benefitting disadvantaged communities per SB 535. To help determine disadvantaged community eligibility, prior to completing a full application, answer the following questions. See Appendix D for more information on identifying a disadvantaged community and the criteria for evaluating projects with disadvantaged communities.

	Criteria	Yes/No
1.	Does the project serve a disadvantaged community? (Select all that apply)	
	SB 535 (CalEnviroScreen 2.0)	
	SB 859 (low median household income)	
	Critically Underserved Community	
2.	Is 50% or more of the project in a publicly accessible area in the disadvantaged community?	


**If you selected “Yes” to SB 535, answer Questions 3-8. Applicants must meet at least one of the following to be eligible for the SB 535 approach to disadvantaged community. Select all that apply.**

3.	Will a majority of the trees planted be accessible by walking within ½ mile of the disadvantaged community?	
4.	Does the project reduce the flood risk to one or more adjacent disadvantaged communities?	
5.	Does the project expand or improve the usability of existing active transportation routes?	
6.	Does the project improve open spaces, parks, greenbelts, and recreational areas publicly accessible by walking within ½ mile of a disadvantaged community?	
7.	Does the project include at least 25% of the project work hours being performed by residents of a disadvantaged community?	
8.	Does the project include at least 10% of the project work hours being performed by residents of a disadvantaged community participating in job training programs?	

# APPLICATION FORM

Below is a sample of the program application form. The application form will be printed directly from SOAR. Applicants are to provide one signed original and one copy of the form with their hardcopies.

RFP Title

Project Title		Estimated Date of Completion:	
		Funds Requested: \$	
		Local Cost Match: \$	
		Total Budget: \$	
Applicant Organization		County	Nearest City/Town
Applicant Address		Project Address (or nearest cross street)	
Federal Tax ID		Senate Dist.	Assembly Dist.
		US Congressional Dist.	
6.) Project Description			
Latitude	Longitude	Coordinates Represented: Coordinates Determined Using	
Project Director (Applicant's Representative Authorized in Resolution) <span style="color: red;">(Signature required at bottom of this page)</span>			
Name:		Title:	
Phone:		Email Address:	
Project Manager - Person with day to day responsibility for project (if different from authorized representative):			
Name:		Title:	
Phone:		Email Address:	
			
I certify that the information contained in this project application, including required attachments, is complete and accurate.			
Signed: _____		Date: _____	
Applicant's Authorized Representative as shown in Resolution			
Print Name:		Print Title:	

## BRIEF PROJECT SUMMARY

Provide a brief summary in SOAR which addresses the items below.

- Summarize the specific project for this grant request and how it meets the program goals. Also include a brief description of current site conditions, community and government agency partnerships, benefits of the proposed project, and extent of public access, if feasible.
- If the proposed project is **located within** a disadvantaged or critically underserved community, please describe. (See Appendix D).
- Describe how the proposed project **benefits** a disadvantaged or critically underserved community. (See Appendix D).

## PROJECT EVALUATION

### Evaluation/Scoring Criteria

All information in the application package is requested to facilitate the review team’s project evaluation and should demonstrate how effectively a project meets minimum requirements, program goals/objectives and required due diligence. Projects will be scored in the following areas. A total of 140 points are possible. **Points will be attributed to each section and not to individual questions.**

<b>1. Statutory and Program Requirements (Per SB 859)</b>	0 – 40
➤ Project reduces Greenhouse Gas Emissions	
➤ Project acquires, creates, enhances, or expands community parks and green spaces, AND/OR uses natural systems or systems that mimic natural systems to achieve multiple benefits	
➤ Project achieves multiple benefits	
<b>2. Statutory and Program Priorities (Per SB 859)</b>	0 – 30
➤ Provide park or recreational benefits to a critically underserved community or disadvantaged community	
➤ Proposed by disadvantaged or critically underserved community (Project must be located within the disadvantaged community)	
➤ Develop partnerships with local community organizations and businesses in order to strengthen outreach to disadvantaged communities, provides access to quality jobs for residents of disadvantaged communities, or provides access to workforce education and training	
➤ Uses interagency cooperation and integration	
➤ Uses existing public lands and facilitates the use of public resources and investments, including schools	
<b>3. Disadvantaged Communities</b>	
➤ Project is located within and benefits a disadvantaged community (SB 535)	20
➤ Project addresses a meaningful and important community need (SB 535)	0 – 10
<b>OR</b>	
➤ Project benefits a disadvantaged community (SB 859)	5
<b>4. Statewide Park Development and Community Revitalization Act</b>	0 – 5
<b>5. Additional Project Characteristics</b>	0 – 10
<b>6. Project Readiness</b>	0 – 10
<b>7. Organizational Capacity</b>	0 – 10

**Project Data** – Each applicant must answer all of the questions below. This section is needed for data collection in order for the State to fulfill outside reporting requirements. **These questions are to be answered directly in SOAR.**

1. Select the **two** statutory priorities that are most applicable to your project:
  - a. Provides park or recreational benefits to a critically underserved community or disadvantaged community.
  - b. Proposed by a critically underserved community or disadvantaged community.
  - c. Develops partnerships with local community organizations and businesses in order to strengthen outreach to disadvantaged communities, provides access to quality jobs for residents of disadvantaged communities, or provides access to workforce education and training.
  - d. Uses interagency cooperation and integration.
  - e. Uses existing public lands and facilitates the use of public resources and investments, including schools.
  
2. Please enter the quantities on all of the following measures applicable to your project:
  - a. Net GHG benefit
  - b. Trees to be planted
  - c. Acre feet of stormwater captured/treated
  - d. Acres of green/open space created/restored/enhanced
  - e. Acres of green/open space to be acquired
  - f. Miles of commuter trail to be created
  - g. Plants to be planted (non-trees)
  - h. Acres of riparian habitat restored/enhanced
  - i. Miles of nature trail to be created
  - j. Net GHG Benefit/Urban Greening GGRF Funds Requested
  - k. Trees to be planted within 60 feet of a conditioned building
  - l. Number of development rights extinguished
  
3. Select the **two** project examples that are most applicable to your project?:
  - a. The greening of existing public lands and structures, including schools
  - b. Green streets and alleys that integrate green infrastructure elements into the street or alley design, including permeable surfaces, bioswales, and trees
  - c. Non-motorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools
  - d. Tree canopy
  - e. Neighborhood, city, regional, or county parks and open space
  - f. Riparian habitat
  - g. Urban heat island mitigation and energy conservation efforts through greening, including green roof projects
  - h. Multi-objective stormwater projects, including the construction of permeable surfaces and collection basins and barriers
  - i. Climate resilience and adaptation of urban areas that reduce vulnerability to climate impacts and improve the ability of natural systems to buffer the impacts of climate change
  - j. Economic, social, and health benefits, including, but not limited to, recreational opportunities, workforce education and training, contracting, and job opportunities for disadvantaged communities

4. Is the project ADA accessible?
  - a. Yes
  - b. No
  
5. Does the project use recycled or reclaimed water?
  - a. Yes
  - b. No
  
6. Name of the lead agency for CEQA:
  
7. Type of Project
  - a. Development
  - b. Acquisition and Development
  
8. Other Sources of Funds (enter the dollar amount in each applicable category)
  - a. Federal Contribution
  - b. Local Contribution
  - c. Other State Funds
  - d. Private Contribution

### **Project Evaluation Questions**

**Statutory and Program Requirements** – All projects must meet certain requirements as specified in statute. Each applicant must answer all questions below. **Questions are to be answered in the Project Evaluation Questions attachment and uploaded in SOAR. [Click here](#) to find the template for your use.**

1. Explain how the project meets the criteria of 1) acquiring, creating, enhancing, or expanding community parks and green spaces, **and/or** 2) using natural systems, or systems that mimic natural systems.
  
2. Describe which of the three project activities (carbon sequestration through tree-planting, energy use reduction from tree shade, or reduced vehicle miles traveled), is being proposed and explain how the proposed strategy will result in a net GHG benefit.
  
3. Using the ARB quantification methodologies, how does your project result in a GHG benefit? Include data on factors contributing to GHG emissions and anticipated outcomes. ARB's quantification methodology for the Urban Greening Program can be found at: [www.arb.ca.gov/cci-quantification](http://www.arb.ca.gov/cci-quantification)
  
4. Explain how the project provides multiple benefits. These could include, but are not limited to, reduced air pollution, water pollution, consumption of natural resources, or consumption of energy. To help achieve multiple benefits, the project must include the establishment or enhancement of **two** of the following examples (See Appendix O):
  - a. The greening of existing public lands and structures, including schools
  - b. Green streets and alleys that integrate green infrastructure elements into the street or alley design, including permeable surfaces, bioswales, and trees
  - c. Non-motorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools
  - d. Tree canopy
  - e. Neighborhood, city, regional, or county parks and open space

- f. Riparian habitat
  - g. Urban heat island mitigation and energy conservation efforts through greening, including green roof projects
  - h. Multi-objective stormwater projects, including the construction of permeable surfaces and collection basins and barriers
  - i. Climate resilience and adaptation of urban areas that reduce vulnerability to climate impacts and improve the ability of natural systems to buffer the impacts of climate change
  - j. Economic, social, and health benefits, including, but not limited to, recreational opportunities, workforce education and training, contracting, and job opportunities for disadvantaged communities
5. Answer the corresponding questions for the **two** examples selected in Question 4. Applicants will provide a qualitative description of project as well as any quantitative information on this topic.
- a. Greening of existing public land and structures, including schools
    - 1. Describe the current environmental condition of the project site. How is the project site currently used? What is the size, in acres, of the project site?
    - 2. How does the current use contribute to the economic, social and/or recreational life of the community? How will that change as a result of the project?
  - b. Green streets and alleys that integrate green infrastructure elements into the street or alley design, including permeable surfaces, bioswales, and trees
    - 1. Describe the current use condition of the project site.
    - 2. How will the project convert the property into a green street or alley? Include any plans for recreational and scenic amenities.
    - 3. Will the project reduce vehicle miles traveled? Explain.
  - c. Non-motorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools
    - 1. How will the project extend trails or routes for recreational and/or commuter purposes? Describe the intended users.
    - 2. Describe how the trail increases connectivity of the active transportation network such filling gaps or otherwise completing routes in a bike or trail plan or a low-stress bikeway network.
    - 3. What construction materials will be used? Include any environmentally-friendly materials that will be used for trail construction (e.g., permeable surfaces).
    - 4. What percentage of the proposed trail system will be ADA accessible? Describe accommodations.
    - 5. How many miles of trail will be created or enhanced?

6. Does the trail connect to or between parks, open space, or green infrastructure?
7. Does the trail improve safety for bicyclists and or pedestrians which may lead to increased biking or walking?

d. Tree Canopy

1. Are green space or vegetation (tree) assessments available in your community? If yes, please describe.
2. What species of trees and plants will be used? If planting non-native species, explain the rationale behind the selections.
3. Are there any local tree canopy ordinances at the project site? Will this project help you meet that these ordinances?
4. How many trees will be planted in how much space? What is the size of each of the trees proposed? Trees must not be larger than 15 gallon.
5. What consideration, if any, was given to pollen production in the project plant palette? (See the links to SelecTree and OPALs in Appendix B for allergy information on specific species)
6. What are the environmental benefits of the species selected?
7. Are selected trees and other plants environmentally tolerant to drought, smog, soil compactions, frost, wind, etc.?
8. Will the initial size selected for the planting area have the best chance for survival and growth on the project site?
9. Explain how your project activity preserves and promotes species diversity in the urban forest.
10. Will the species selected reduce the effects of insects and diseases?
11. How will the trees be protected from vandalism?
12. Has an impermeable surface assessment been conducted? If so, what were the results? If not, will one be conducted during the project?

e. Neighborhood, city, regional, or county parks and open space

1. Describe the environmental condition of the park/open space. Does the project protect rare, threatened, or endangered species and their habitats?
2. How will the project convert the property into a park/open space? Include any plans for recreational and scenic amenities.

3. Will the project protect agricultural lands or features of archaeological or historical value?
  4. Describe any limits such as parking, hours of operation, available staffing, user fees, seasonal restrictions or other ecological considerations. How do you plan to address these limitations?
  5. How many acres of green space/park will be created or enhanced?
  6. If project includes an acquisition, how many acres of green space/park will be acquired?
- f. Riparian Habitat
1. Describe how the project will protect, restore, enhance, or preserve riparian habitat using either common or scientific names to identify elements.
  2. If the project includes restoration or re-establishment of riparian habitat, explain plans to employ habitat management strategies designed to reduce mosquito production (See: Central Valley Joint Ventures Technical Guide to Best Practices at <http://www.centralvalleyjointventure.org/assets/pdf/CVJV-Mosquito-BMP.pdf> ).
  3. Is there an imminent threat to the habitat (i.e., projects planned and approved in the immediate vicinity that will preclude or conflict with the maintenance of the habitat)?
  4. What will happen to the existing habitat if this project does not occur? Will the habitat improve, decline, or be entirely lost? And, over what time period?
  5. How many acres of habitat will be restored or preserved?
- g. Urban heat island mitigation and energy conservation efforts through greening, including green roof projects
1. How will the project reduce energy consumption? Describe what energy saving measures will be incorporated. Energy saving measures may include, but are not limited to, constructing green roofs, planting trees to shade buildings, walkways, and spaces, and converting asphalt to native plants and/or turf, or other permeable surfaces.
  2. Explain how the project will reduce GHG emissions by reducing energy demand and promoting resource efficiencies.
- h. Multi-objective stormwater projects, including the construction of permeable surfaces and collection basins and barriers
1. Describe generally the type of stormwater facilities in the proposal (e.g. rain gardens, planters, bioswales, green roof, etc.).
  2. How will the project reduce non-point source pollution? How will the project recharge groundwater supplies? Explain.



3. How will the project be designed to reduce mosquito and methane production (See: Central Valley Joint Ventures Technical Guide to Best Practices at <http://www.centralvalleyjointventure.org/assets/pdf/CVJV-Mosquito-BMP.pdf>)
4. Describe how the project will capture or treat runoff by answering the following:
  - What is the estimated volume of water to be captured and treated? Explain your methodology and sources.
  - How will you track the volume of water captured and treated?
- i. Climate resilience and adaptation of urban areas that reduce vulnerability to climate impacts and improve the ability of natural systems to buffer the impacts of climate change
  1. How will the project specifically increase resilience to climate change and what approach was used to determine said benefits? Project elements that increase adaptability to climate change include, but are not limited to, installation of green/living roofs and installing or maintaining large masses of street tree plantings and trees that shade buildings, parking lots, sidewalks and trails (heat island mitigation) etc.
  2. Is the project a part of a larger climate action plan? Explain.
- j. Economic, social, and health benefits including, but not limited to, recreational opportunities, workforce education and training, contracting, and job opportunities for disadvantaged communities
  1. Using the examples below, indicate which of the following apply to the project and explain how the selected benefits will be achieved -
    - Improved mental health (e.g., social networking, overall well-being)
    - Increased physical activity (effects on obesity, diabetes, heart disease, etc.)
    - Reduced risk of skin cancers
    - Increased access to locally grown/sustainable food sources
    - Increased access to natural environments, parks, opens space, etc.
    - Other co-benefits to public health (examples include reducing urban heat island effects, increasing safe active transportation - e.g., pedestrian walking and bicycling, and serving an area of high density population).
  2. Identify specific efforts or strategies to ensure that disadvantaged communities and/or neighborhoods will realize these benefits.
  3. How will the project maximize access to workforce education, training, and quality jobs to residents of disadvantaged communities and individuals with barriers to employment?
  4. How will the project use state or local youth employment programs (e.g., California Conservation Corps, local conservation corps or similar youth employment programs) and how will youth employment element be integrated into the program?
  5. How will the project maximize contracting with businesses located in disadvantaged communities or minority or women-owned businesses?

6. How will the project ensure supplier diversity and procure goods from local businesses, businesses located in disadvantaged communities, or minority or women-owned businesses?
7. If the project provides other benefits that contribute to sustainable communities, please describe.
8. How will the project maximize workforce education and training in project planning and implementation?

### **Statutory and Program Priorities**

Additional points will be awarded to projects that meet **two** of the following priorities. For the purposes of this section, points will be awarded to projects in which either disadvantaged community approach is applicable. Answer all of the questions in the selected priorities. **Questions are to be answered in the Project Evaluation Questions attachment. [Click here to find the template for your use.](#)**

- a. Provide park or recreational benefits to a critically underserved community or disadvantaged community
  1. Identify whether and how the project will expand acreage and/or access to open space in the critically underserved or disadvantaged community.
  2. Specifically describe each recreational element planned for the project:
    - Explain how the elements contribute to the overall recreational goals and objectives.
    - Explain how the elements are compatible with the environment and the critically underserved or disadvantaged community.
- b. Proposed by a critically underserved community or disadvantaged community
  1. Identify whether this project is proposed by a critically underserved or disadvantaged community. Explain your method of determination.
    - Is the project located within the disadvantaged community? Explain.
  2. How will the project benefit said proposed community?
  3. Describe the importance of the project to the community. Is there any opposition to the project?
- c. Develop partnerships with local community organizations and businesses in order to strengthen outreach to disadvantaged communities, provides access to quality jobs for residents of disadvantaged communities, or provides access to workforce education and training

1. Discuss how the project will develop partnerships with local community organizations in order to strengthen outreach to disadvantaged communities.
  2. Discuss how the project will contract with local minority or women-owned businesses to implement the project, increase supplier diversity, and provides access to quality jobs for residents of disadvantaged communities, or provides access to workforce education and training.
  3. How does the project incorporate participation of local agencies, businesses, nonprofits, non-governmental organizations and citizens' groups in project planning, design, or implementation?
  4. Discuss any demographic, social, and/or cultural issues that are important to the local community and that will influence design, implementation, and maintenance of the project.
  5. Describe how the applicant or partnering community-based organizations made efforts to meet with and involve disadvantaged community residents about the project prior to the application deadline.
- d. Uses interagency cooperation and integration
1. Describe partnerships with other entities, including state entities, local land use and public health authorities, and their corresponding roles in the project.
  2. Describe community partnerships for the project with groups such as watershed groups, local businesses, urban forestry organizations, landowners, general public, local governments, schools, environmental groups, technical experts, neighborhood associations, etc.
  3. How will the project increase community interaction and cooperation?
  4. Are there relevant local land use, watershed, water management, or general plans that have jurisdiction over the project?
    - How is the project consistent with these plans?
    - Has the Urban Greening project been identified as a statewide priority in plans, policies, or other pronouncements?
- e. Uses existing public lands and facilitates the use of public resources and investments, including schools
1. What private, public, and/or non-profit financial resources have been obtained for this project?

**Disadvantaged Communities** (See Appendix D for more information)

Answer each of the following questions. For the purposes of this section, points will be awarded to projects in which the SB 535 disadvantaged community approach is applicable. **Questions are to be answered in the Project Evaluation Questions attachment.** [Click here](#) to find the

**template for your use.**

1. How is a disadvantaged community applicable to this project? Describe the applicant's relationship to the disadvantaged community.
2. Identify where the project will be located in relationship to the disadvantaged census tract by providing geographical location information. If it is not located within the disadvantaged community, specify where it will be located, how far away it is from the disadvantaged community, and the availability of public transportation to the project site. Provide maps illustrating the disadvantaged community determination. (see Appendix D for guidance in determining the geographic boundary of a disadvantaged community).
3. Does the project address an important community need? How was the need determined? What is the link between the needs of the community and the proposed project? Explain. To determine if a project meaningfully addresses important community needs, applicants can look at the factors in CalEnviroScreen 2.0 that caused the project area to be defined as a disadvantaged community; host community meetings to get local input; refer to the list of common needs in Table 2-2 on page 2-13 of ARB's Funding Guidelines; or receive documentation of community support (e.g., letters or emails).
4. Does the project reduce flood risk to the disadvantaged community? Explain.
5. Explain how the project reduces air pollution in the community? Does the project provide greater mobility and increased access to clean transportation for community residents?
6. Does the project site allow public access? Is the majority of the project accessible? Explain.
7. Do you anticipate the project will result in at least 10% of project work hours performed by residents of a disadvantaged community participating in job training programs?
8. Do you anticipate the project will result in at least 25% of project work hours performed by residents of a disadvantaged community?

**Statewide Park Development and Community Revitalization Act of 2008** - Additional points will be given to projects that satisfactorily answer the following questions. **Questions are to be answered in the Project Evaluation Questions.** [Click here](#) to find the template for your use.

1. Was this project eligible to receive funding from the Statewide Park Development and Community Revitalization Act of 2008?
2. Did this project previously receive funding from the Statewide Park Development and Community Revitalization Act of 2008? Was the project completed?
3. If the project received funding, how will Urban Greening funds enhance the Statewide Park Development and Community Revitalization Act of 2008 funded project? Please explain.
4. If the project was not completed, explain the obstacles and how the project will now be achieved.

**Additional Project Characteristics** – Answer each of the following questions in the Project Evaluation Questions attachment. [Click here to find the template for your use.](#)

1. How does the project address environmental, social, economic, or public health issues or provide benefits not previously discussed, describe here.
2. Describe how the project might be used as a model or easily transferred to other communities and/or organizations, or explain the unique conditions in the community that make this project a good fit.
3. What type of irrigation system will be installed? Will it be permanent or temporary (i.e., for establishing plants)? Will the project use recycled or reclaimed water?
4. How will appropriate security and safety be provided?
5. Describe how the project uses anti-displacement strategies, as applicable.

**Project Readiness** – Answer each of the following questions. Applicants should demonstrate an ability to complete the project within the timelines imposed by the appropriation. Urban Greening Program funds are expected to be expended by May 1, 2020. Therefore, projects that can be started and completed most readily will be given priority. **Questions are to be answered in the Project Evaluation Questions attachment.**

1. Identify and describe the steps to be taken immediately following the grant award.
2. Have performance measure standards been established to quantify the success of the project? If yes, what measures are in place? If not, what steps are being taken to develop standards prior to the completion of the project?
3. Provide the **status** of the following, as applicable:
  - Preliminary design plans including plant palettes
  - CEQA compliance
  - Permits
  - Commitments from project partners including funding, land access, easements, encumbrances, and operations & maintenance agreements
  - For projects including an acquisition: detailed appraisal and/or comparable sales data; preliminary title report; negotiations with a willing seller
4. What other factors may affect the project's timeline and completion (e.g., other sources of funds, utilities, opposition to the project, willing seller issues, etc.)? How will these factors be addressed?
5. Is there any toxic contamination resulting from prior mine-related or other industrial activity on the property? Has a Phase I or Phase II Environmental Site Assessment been completed? If so, address timing of clean-up, types of toxins, and delays to the project construction that might result from toxins on the site.
6. Describe any due diligence to determine whether there are any abandoned mines on or near the property. Has the Department of Conservation been consulted?

7. List all other sources of funding and amounts already committed to the project and expected timing of funds. Cite specific dollar amounts for cash contributions, in-kind services, volunteer effort, other GGRF funding, donated labor and materials, technical expertise, etc.
8. Indicate any other grants where funding has been requested, the requested amount, and the expected notification date.
  - a. If funding is not received from other sources, is the requested grant amount sufficient to complete the project? Explain.
9. What other options are available to meet the project objectives if this grant request is not successful?
10. What is the contingency plan for implementation if the project exceeds the budget?

**Organization Capacity** – Applicants must demonstrate their ability and willingness to complete and maintain the project according to the program requirements. **Questions are to be answered in the Project Evaluation Questions attachment.**

1. What is your organization's experience in completing this type of project? Is the expertise needed for this project readily available within your organization? If not, how do you plan to procure it?
2. Explain how you plan to keep the community informed and involved in the project.
3. Who will perform long-term maintenance? Describe their experience in maintaining this type of project. How will ongoing maintenance be funded beyond the grant timeline (as applicable)?
4. Please describe how your proposed project will be sustained. Who or what institutions will take responsibility for plantings?
5. How will the project be protected from vandalism and deterioration?
6. Explain methods for estimating costs and in what way the project is cost effective.
7. Do you have a fiscal sponsor? If yes, identify the sponsor and explain their role in the project.
8. Has the applicant received a prior grant from the California Natural Resources Agency? If so, include project name and year.

## REQUIRED SUPPORTING DOCUMENTATION (What to Submit)

These documents will give reviewers a better understanding of what was described in the narrative. Please refer to the Application Package Checklist (page 30) for the appropriate number of copies of each of the following to submit with the application package. Do not submit additional materials that have not been specifically requested.

1. **Location Map** – Directional map with enough detail to allow a person unfamiliar with the area to locate the project site. Streets and other notable landmarks should be clearly marked to allow for easy identification. Maps or images must fit into an 8 ½” X 11” folder.
2. **Site Plan** – All plans should be for the project for which you are requesting funding and contain specific property details of the property as described in the grant application including exterior boundaries and public access points. Include details regarding the location of the improvements described in the grant application. The plan should be specific enough to allow someone unfamiliar with the project to visualize it in detail.
3. **Photographs** – Provide up to five (5) labeled photographs of different views of the project site reflecting current conditions at the site. Pictures should be no larger than 8 ½ by 11 inches.
4. **Plant Palette** – For projects with any plantings, provide genus, species, common name, and stock size (if known). For resources to aid in plant selection and planting standards, see Appendix B.
5. **Letter from Landscape Architect, Registered Professional Foresters, or Certified Arborist** – For projects with plantings, provide a letter from a landscape architect, registered professional forester, or certified arborist verifying the plant and tree selection is appropriate for the site conditions and local climate, native plantings are or are not feasible, that the project complies with MWELo or a stricter local water ordinance, and that the selections are low water and drought tolerant.
6. **Project Timeline** – Provide an estimated timeline for all major tasks detailed in the project.
7. **Signed Authorizing Resolution from Governing Body** – See Appendix H for required Resolution format and content.
  - A draft resolution may be submitted with the application if the governing body meeting is scheduled after the application deadline. Prior to any recommendation of funding, however, the adopted copy is required.
  - If using a fiscal sponsor, provide the standard resolution template **and** both fiscal sponsor resolutions.
  - **Resolutions must include all assurances indicated in the template**
8. **Cost Estimate** – Provide a detailed cost estimate reflecting all costs associated with the project. Identify costs included in the grant request and costs covered by other funding sources in separate columns. (See Appendix J for sample format.) Cost estimates should include acknowledgement signage costs as an individual line item (See Appendix G for Signage Requirements).

9. **Eligibility for Nonprofit Applicants** – Provide evidence 1) that the corporation is qualified under Section 501(c)(3) of the Internal Revenue Service Code (e.g., IRS Determination Letter) and 2) that the corporation is in good standing with the Secretary of State (e.g., Active Status printout).
10. **Local Support Letter** – Provide copies of letters from entities with jurisdiction over the project 1) support for the proposed project, 2) a willingness to participate in the planning, design and/or implementation, and 3) a commitment to the broad objectives of ARB's goals.
11. **Environmental Compliance** (One copy only) – At a minimum, provide a copy of the Categorical Exemption, Initial Study, or Checklist for entire proposed project. Drafts are acceptable for the purpose of application (Appendix C).
  - For Categorical Exemptions, the Lead Agency must file with the County before grant funds would be released.
  - CEQA (and National Environmental Policy Act, or NEPA if applicable) must be completed to the State's satisfaction before any construction funds will be disbursed.
12. **Disadvantaged Community** – Provide maps from California State Parks' Community Fact Finder or CalEnviroScreen 2.0 documenting the project location in a disadvantaged community. Provide information for all census tract/block groups that the project affects (Appendix D).
13. **Disadvantaged Community Engagement** – Attach memorandum of understanding or support letters from engaged community organizations and businesses demonstrating support and understanding of the project and that the project addresses a meaningful community need.
14. **Property Data Sheet** – Provide the completed property data sheet for all parcels included in the project (Appendix L).
15. **Adequate Site Control/Land Tenure** – Provide copies of documents verifying current ownership for each and every parcel listed on the Property Data Sheet including rights of way the project will include. Examples include, but are not limited to, tax records, owner data sheets from county records, deeds, title reports, etc. If the property is owned by a party other than the applicant, provide evidence demonstrating long-term access that authorizes the applicant to develop the project on the property (e.g., leases, easements, encroachment permits, etc.) (Appendix E).
  - If an agreement has not yet been executed giving permission to develop the property, a signed letter by the landowner (e.g., City, County, Caltrans, PUC, etc.) indicating their intent to enter into such an agreement is acceptable for application purposes only.
  - Site Control adequate to the State must be submitted before **any** grant funds will be disbursed.
16. **Permit/Approval Status** – Indicate the types of permits necessary to complete the project, permitting status, and potential project delays due to permitting (Appendix M).
17. **Operations & Maintenance** – If operations and maintenance will be performed by another entity, explain and provide evidence of concurrence from that entity, which



includes operational agreements, letters of intent, and memoranda of understanding/agreement signed by all parties.

- If an agreement has not yet been executed, a signed letter by the entity to perform the operations and maintenance indicating their intent to enter into such an agreement is acceptable for application purposes only.

18. **GHG Emission Reduction Calculator** – Attach the populated Urban Greening GHG Emission Reduction Calculator required by the ARB approved quantification methodology.

19. **Center for Urban Forest Research Tree Carbon Calculator (CTCC) or i-Tree Supporting Documentation** – For tree planting projects, provide the CTCC or i-Tree inputs used to determine the projects net GHG benefit.

- CTCC inputs and outputs for each tree and tree planting site scenario (if applicable)
- Tree population inventory used in i-Tree Street (if applicable)
- i-Tree Carbon Stored and Energy reports (if applicable)

20. **Willing Seller Letter** – For combination acquisition and development projects, provide a letter from each person on the title indicating they are a willing participant in the proposed real property transaction. The letter should clearly indicate that should grant funds be awarded, the seller is willing to enter into an agreement for the sale of the real property and for a purchase price not to exceed fair market value (Appendix K).

- Or, provide an executed purchase option agreement.

## APPENDIX A - APPLICATION CHECKLIST

Submit one Application Package for each **Project**. Application Packages should be organized in the following order. Clearly label each item. The appropriate number of copies should be provided. Bind packages with binder clips only. Do not put in folders or notebooks. All items will also be submitted online through SOAR (see page ii for instructions).

<b>Submit two (2) unbound copies (one original and one copy) of all items besides CEQA (one only)</b>	
<b>Completed Application Form</b> — page 14 <input type="checkbox"/> Original application form with <b>original signature</b>	<input type="checkbox"/>
<b>Brief Project Summary</b> (print out from SOAR) – page 15	<input type="checkbox"/>
<b>Eligibility Checklist</b> (print out from SOAR) – page 12	<input type="checkbox"/>
<b>Disadvantaged Community Checklist</b> (print out from SOAR) – page 13	<input type="checkbox"/>
<b>Project Data Questions</b> (print out from SOAR) – page 16	<input type="checkbox"/>
<b>Project Evaluation Questions</b> – page 17	<input type="checkbox"/>
<b>Project Location Map</b> - page 27	<input type="checkbox"/>
<b>Site Plan</b> (with supporting documents: cross section, plant list, etc.) - page 27	<input type="checkbox"/>
<b>Photographs</b> – page 27	<input type="checkbox"/>
<b>Plant Palette</b> - page 27 (only for projects with any plantings)	<input type="checkbox"/>
<b>Letter from Landscape Architect, Certified Professional Forester, or Certified Arborist</b> –page 27 (only for projects with any plantings)	<input type="checkbox"/>
<b>Project Timeline</b> - page 27	<input type="checkbox"/>
<b>Signed Authorizing Resolution(s)</b> — page 27 <input type="checkbox"/> Resolution Templates –page 40	<input type="checkbox"/>
<b>Cost estimate</b> – page 27, 46	<input type="checkbox"/>
<b>Eligibility for Nonprofit Applicants</b> – page 28	<input type="checkbox"/>
<b>Local Support Letters</b> – page 28	<input type="checkbox"/>
<b>Environmental Compliance (CEQA) – WITH ORIGINAL ONLY</b> – page 28 <input type="checkbox"/> Copy of minimum required CEQA documents listed on Summary –page 33	<input type="checkbox"/>
<b>Evidence of Disadvantaged Community</b> (if applicable)- page 28, 34	<input type="checkbox"/>
<b>Disadvantaged Community Engagement</b> - page 28	<input type="checkbox"/>
<b>Property Data Sheet</b> – page 28, 48	<input type="checkbox"/>
<b>Adequate Site Control/Land Tenure</b> – page 28, 36 Proof of ownership (tax rolls, grant deed, etc.)	<input type="checkbox"/>
<b>Project Permit / Approval Status</b> –page 28, 49	<input type="checkbox"/>
<b>Operations &amp; Maintenance Documents</b> - page 28	<input type="checkbox"/>
<b>GHG Emission Reduction Calculator</b> - page 29	<input type="checkbox"/>
<b>CTCC or i-Tree Supporting Documentation</b> - page 29	<input type="checkbox"/>
<b>For Projects with an acquisition: Also submit two (2) copies (one original and one copy)</b>	
<b>Evidence of Willing Seller</b> (Willing Seller Letter or Purchase Option Agreement) –page 29, 47	<input type="checkbox"/>

## APPENDIX B – AVAILABLE RESOURCES

### Disadvantaged Communities

#### SB 535 Disadvantaged Community Map

<http://oehha.maps.arcgis.com/apps/Viewer/index.html?appid=dae2fb1e42674c12a04a2b302a080598>

#### California State Parks' Community Fact Finder

<http://www.parksforcalifornia.org/communities>

### Executive Orders and Legislation

#### California Global Warming Solutions Act of 2006 (Assembly Bill 32)

[http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab\\_0001-0050/ab\\_32\\_bill\\_20060927\\_chaptered.pdf](http://www.leginfo.ca.gov/pub/05-06/bill/asm/ab_0001-0050/ab_0001-0050_ab_32_bill_20060927_chaptered.pdf)

#### California Environmental Quality Act (CEQA)

<http://www.leginfo.ca.gov/cgi-bin/displaycode?section=prc&group=20001-21000&file=21000-21006>

#### Governor's Executive Order S-3-05

<https://www.gov.ca.gov/news.php?id=1861>

#### Governor's Executive Order B-29-16

[https://www.gov.ca.gov/docs/4.1.15\\_Executive\\_Order.pdf](https://www.gov.ca.gov/docs/4.1.15_Executive_Order.pdf)

#### Governor's Executive Order B-37-16

[https://www.gov.ca.gov/docs/5.9.16\\_Executive\\_Order.pdf](https://www.gov.ca.gov/docs/5.9.16_Executive_Order.pdf)

#### Statewide Park Development and Community Revitalization Act of 2008

[http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill\\_id=200720080AB31](http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=200720080AB31)

#### State's Planning Policies Statute

<http://www.leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=65001-66000&file=65300-65303.4>

### Plant Palette and Water Use

#### CAL FIRE's Tree Planting Standards and Specifications

[http://www.fire.ca.gov/resource\\_mgmt/downloads/CALFIRE\\_Nursery\\_Standards\\_and\\_Specs11\\_12.pdf](http://www.fire.ca.gov/resource_mgmt/downloads/CALFIRE_Nursery_Standards_and_Specs11_12.pdf)

#### California Invasive Plant Council's Invasive Plant Inventory Database

<http://www.cal-ipc.org/ip/inventory/weedlist.php>

#### California Native Plant Society

<http://www.cnps.org/cnps/grownative/lists.php>

#### CalPoly Urban Forest Ecosystems Institute

<http://www.ufe.org/>

#### Model Water Efficient Landscape Ordinance

<http://www.water.ca.gov/wateruseefficiency/landscapeordinance/>

#### OPALS- Plant Allergy Scale

<http://www.allergyfree-gardening.com/>

#### Urban Forest Ecosystems Institute- SelecTree

<https://selectree.calpoly.edu/>

#### Water Use Classification by Landscape Species (WUCOLS)

<http://ucanr.edu/sites/WUCOLS/>

### Program Administration

#### Air Resources Board California Climate Investments

<https://arb.ca.gov/cc/capandtrade/auctionproceeds/auctionproceeds.htm>

#### Air Resources Board Funding Guidelines for Administering Agencies

<https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/arb-funding-guidelines-for-ca-climate-investments.pdf>

**Air Resources Board Funding Guidelines Supplement for FY 2016-17 Funds**

[https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/final\\_supplemental\\_ggrf\\_funding\\_guidelines\\_12\\_30.pdf](https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/final_supplemental_ggrf_funding_guidelines_12_30.pdf)

**Expenditure Records from Agencies Receiving GGRF Monies**

<https://arb.ca.gov/cc/capandtrade/auctionproceeds/expenditurerecords.htm>

**Methods to Find the Cost-Effectiveness of Funding Air Quality Projects**

<https://www.arb.ca.gov/planning/tsaq/eval/eval.htm>

**Vibrant Communities and Landscapes Vision for California in 2050**

<https://www.arb.ca.gov/cc/scopingplan/meetings/091316/vibrant%20communities.pdf>

## **Project Planning**

**California Regional Progress Report**

[http://www.dot.ca.gov/hq/tpp/offices/orip/Collaborative%20Planning/California\\_Regional\\_Progress\\_Report.html](http://www.dot.ca.gov/hq/tpp/offices/orip/Collaborative%20Planning/California_Regional_Progress_Report.html)

## **Quantification Methodology**

**Air Resources Board's Quantification Methodologies**

[www.arb.ca.gov/cqi-quantification](http://www.arb.ca.gov/cqi-quantification)

**CUFR Tree Carbon Calculator (CTCC)**

<http://www.fs.usda.gov/ccrc/tools/tree-carbon-calculator-ctcc>

**i-Tree:**

<http://www.itreetools.org/>

## APPENDIX C - ENVIRONMENTAL COMPLIANCE

To demonstrate compliance with the California Environmental Quality Act Division 13 (commencing with Section 21000; 14 California Code of Regulations section 15000 *et seq.* ["CEQA"]), applicants must submit one of the following (a draft is acceptable for application purposes).

- a) Notice of Exemption stamped by the county clerk if the project is categorically exempt.
- b) Negative Declaration and Initial Study including the checklist and Notice of Determination stamped by the county clerk or State Clearinghouse with the State Clearinghouse response, as applicable
- c) Final Environmental Impact Report with Initial Study including the checklist and Notice of Determination with State Clearinghouse response.

For b and c: include documentation that the State of California Department of Fish and Game CEQA fee was paid or is not applicable.

- d) Initial Study checklist only
- e) A current and complete Initial Study with a description of how the grantee will complete CEQA compliance within one year from the date of grant agreement. Remaining CEQA requirements may be met as part of the grant work plan. However, grant funds for construction or acquisition will be available only after the project is in compliance with CEQA and other environmental laws. Funds for planning and document preparation may be available sooner, if included in the grant work plan.
- f) For projects included in a Master Environmental Impact Report (MEIR), CEQA compliance shall include a copy of the subsequent Initial Study for the proposed project together with a copy of the Notice of Determination, stamped by the county clerk or State Clearinghouse, as applicable

Where a lead agency cannot make the findings required in Section 21157.1 Subdivision (c) of the California Public Resources Code for a subsequent Project, CEQA compliance shall include a copy of the Mitigated Negative Declaration or Environmental Impact Report.

Pursuant to Section 75102 of the Public Resources Code, before the adoption of a negative declaration or environmental impact report, the lead agency shall notify the proposed action to a California Native American tribe, which is on the contact list maintained by the Native American Heritage Commission, if that tribe has traditional lands located within the area of the proposed project.

## APPENDIX D - DISADVANTAGED COMMUNITIES TOOLS AND CRITERIA

To meet the SB 535 mandate, CalEPA defined disadvantaged communities as the top 25 percent of communities identified using the CalEnviroScreen 2.0. Note that only CalEPA designated disadvantaged communities, per SB 535 (Health and Safety Code Section 39711), will qualify towards the SB 535 and SB 859 75% targets for investments benefiting disadvantaged communities. A community with a median household income less than 80% of the statewide average is only applicable to the statutory priorities.

In order to qualify towards the 75% target for investments located within and benefiting disadvantaged communities as identified by CalEnviroScreen 2.0, a project must meet the ARB criteria to evaluate projects for “Located Within” and “Provides Benefits To” disadvantaged communities. See the chart below and the Disadvantaged Communities Eligibility Checklist (page 13) for more information.

### CRITERIA TO EVALUATE PROJECTS

When selecting projects for a given investment, give priority to those that maximize benefits to disadvantaged communities

**Step 1 – Located Within.** Evaluate the project to see if it: (1) meets at least one of the following criteria for being located in a disadvantaged community census tract and provides direct, meaningful, and assured benefits to a disadvantaged community; and (2) meaningfully addresses an important community need.

Project must meet the following criteria focused on environmental improvements for disadvantaged community residents:

A. The majority (50%+) of the project is in a publically accessible area within a disadvantaged community and, for projects that include planting trees or related vegetation, the project terms provide for maintenance of the trees and related vegetation

**Step 2 – Provides Benefits To.** Evaluate the project to see if it: (1) meets at least one of the following criteria for providing direct, meaningful, and assured benefits to a disadvantaged community; and (2) meaningfully addresses an important community need.

Project must meet **at least one** of the following criteria focused on economic opportunities, or providing green space or open space, for disadvantaged community residents:

- A. The majority of trees (50%+) planted by the project are accessible by walking within ½ mile of a disadvantaged community and the project terms provide for maintenance of the trees and related vegetation; or
- B. Project significantly reduces flood risk to one or more adjacent disadvantaged communities; or
- C. Project expands or improves the usability of existing active transportation routes (walking or bicycle paths, etc.) publically accessible by walking within ½ mile of a disadvantaged community or improves open spaces, parks, greenbelts, and recreational areas publicly accessible by walking within ½ mile of a disadvantaged community; or
- D. Project includes recruitment, agreements, policies or other approaches that are consistent with federal and state law and result in at least 25% of project work hours performed by residents of a disadvantaged community; or
- E. Project includes recruitment, agreements, policies or other approaches that are consistent with federal and state law and result in at least 10% of project work hours performed by residents of a disadvantaged community participating in job training programs which lead to industry-recognized credentials or certifications.

## CalEnviroScreen v. 2.0

To determine if the Urban Greening project is located within and benefits a disadvantaged community, applicants will use CalEnviroScreen 2.0 to pinpoint the project area and determine the type of community proposing and benefitting from the project.

CalEnviroScreen 2.0 uses census tracts combined with data from state and federal sources to identify disadvantaged communities using environmental hazards, geographic, public health, and socioeconomic criteria.

1. Go to <http://www.calepa.ca.gov/EnvJustice/GHGInvest/> to access The California Environmental Protection Agency's website on designation of disadvantaged communities using CalEnviroScreen 2.0.
2. Select the SB 535 Online Mapping Application of the Disadvantaged Communities link
3. Input project address or location into the box at the top of the tool. Tracts highlighted in red are identified as a disadvantaged community.
4. Once you have the project area correctly defined, click the printer icon in the key at the top. Save and print the PDF map and submit it with your grant application.

## Community Fact Finder

To determine if the Urban Greening project is located within and benefits a disadvantaged community, (a community with a median household income less than 80% of the statewide average) applicants may use California State Parks' Community Fact Finder to pinpoint the project service area and determine its Median Household income (MHI) using the following steps:

1. Open <http://www.parksforcalifornia.org/communities> to access California State Parks' Community Fact Finder. While the Fact Finder has many demographics, for purposes of this program, a disadvantaged community is based on income.
2. Once in the Community Fact Finder, obtain MHI data for the project area by (1) entering the project site address or (2) placing a pin on the desired location on the map.
  - a) If the project site has a specific address, click in the box that says "Type Project Address", type the address and click "Go." This brings up the map of the project area. The red circle represents a ½ mile radius around the Project address. To submit the MHI information for the project service area, click "Get Report", save and print the PDF report, and submit with the grant application as required in the What to Submit section.
  - b) If the project does not have a specific address but will serve a specific neighborhood, parts of the city or county, etc., you must use "drop a pin" function. Zoom into the map to locate your project site. When the area of the project fills most of the map frame, click the blue pin on the left side of the screen and click the center of the project location on the map. Once you have the project area correctly defined, click the green "Get Report" button, then save and print the PDF report, and submit it with the grant application.

## **APPENDIX E – SITE CONTROL/LAND TENURE REQUIREMENTS**

The State recognizes that specific activities may change over time; however, the property must remain available for compatible Urban Greening Grant Program use in accordance with the following requirements:

### **Acquisition Projects**

The Grantee or the Grantee's successor in interest shall hold, in perpetuity, the real property only for the purpose for which the grant was made and make no other use or sale or other disposition of the property without the written permission of the State.

### **Development Projects**

The Grantee shall maintain and operate the project developed pursuant to this grant for a period of:

- a. At least 10 years for Grants up to \$100,000
- b. At least 20 years for Grants up to \$1 million
- c. At least 25 years for Grants over \$1 million

### **For All Projects**

- The Grantee shall not use or allow the use of any portion of the real property for mitigation (i.e., to compensate for adverse changes to the environment elsewhere) without the written permission of the State.
- The Grantee shall not use or allow the use of any portion of the real property as security for any debt.
- With the approval of the State, the Grantee or the Grantee's successor in interest in the property may enter into an agreement with another party to maintain and operate the property in accordance with this program. At a minimum, the agreement must 1) clearly spell out the roles of each party in detail, 2) be signed by all parties signifying their acceptance, 3) not terminate prior to the length of site control/land tenure required by the Grant Agreement (only agreements that allow early termination for cause or by mutual consent will be acceptable) and 4) include language that the Grantee would resume responsibility for ongoing operations and maintenance in the event of cancellation.
- Grantee may be excused from its obligations for operation and maintenance of the project site only upon the written approval of the State for good cause. "Good cause" includes, but is not limited to, natural disasters that destroy the project improvements and render the Project obsolete or impracticable to rebuild.
- At the sole discretion of the State, a document must be recorded against the real property that defines the State's interest in the property (see Appendix F for sample MOUGA document).



**APPENDIX F – SAMPLE MEMORANDUM OF UNRECORDED GRANT AGREEMENT**

Recording requested by, and )  
when recorded, return to: )  
State of California )  
Natural Resources Agency )  
Bonds & Grants )  
1416 Ninth Street, Suite 1311 )  
Sacramento, CA 95814 )

Space above this line for Recorder's use

**MEMORANDUM OF UNRECORDED GRANT AGREEMENT**

This Memorandum of Unrecorded Grant Agreement (Memorandum), dated as of \_\_\_\_\_, 20\_\_\_\_, is recorded to provide notice of an agreement between the State of California, by and through the California Natural Resources Agency (Agency) and

\_\_\_\_\_  
("Grantee").

RECITALS

- On or about \_\_\_\_\_, \_\_\_\_\_, Agency and Grantee entered into a certain Grant Agreement, Grant No. \_\_\_\_\_ ("Grant"), pursuant to which Council granted to Grantee certain funds for the acquisition of certain real property, more particularly described in attached Exhibit A and incorporated by reference (the "Real Property"). **(Must attach the legal description as Exhibit A.)**
- Under the terms of the Grant, Agency reserved certain rights with respect to the real property.
- Grantee desires to execute this Memorandum to provide constructive notice to all third parties of certain Council reserved rights under the Grant.

NOTICE

- The real property (including any portion of it or any interest in it) may not be sold or transferred without the written approval of the State of California, acting through the Council or its successor, provided that such approval shall not be unreasonably withheld as long as the purposes for which the Grant was awarded are maintained.
- The Grantee shall not use or allow the use of any portion of the real property for mitigation without the written permission of the State.
- The Grantee shall not use or allow the use of any portion of the real property as security for any debt.
- For additional terms and conditions of the Grant, reference should be made to the Grant Agreement, which is on file with The California Natural Resources Agency, 1416 Ninth Street, Suite 1311, Sacramento, California 95814.

GRANTEE:

By: \_\_\_\_\_

## APPENDIX G – FUNDING RECOGNITION

All Grantees are required to post a sign at the project site. The sign must be available for the final inspection of the project. There is no minimum or maximum size other than the minimum size for the logo as long as the sign contains the required wording and is legible to visitors.

### Types of Signs

- 1) A sign is required during construction.
- 2) A sign must be posted upon completion (1 & 2 could be the same if sign is durable)

### Language for Signs

All signs will contain the following minimum language:

The name of the director of the local agency or other governing body may also be added. The sign may also include the names (and/or logos) of other partners, funding organizations, individuals and elected representatives.

### Logo

All signs must contain a universal logo for California Climate Investments and the Urban Greening Program logo (see the box to the right). The logos are available at <http://resources.ca.gov/grants/logo-art/>. The logos must be mounted in an area to maximize visibility and durability. Each side of the logo must be a minimum of 1'X1' -- exceptions may be approved when appropriate.

### Sign Construction

All materials used shall be durable and resistant to the elements and graffiti. The California Department of Parks and Recreation and California Department of Transportation standards can be used as a guide for gauge of metal, quality of paints, mounting specifications, etc.

### Sign Duration

Project signs must be in place for a minimum of four (4) years from date of project completion.

### Sign Cost

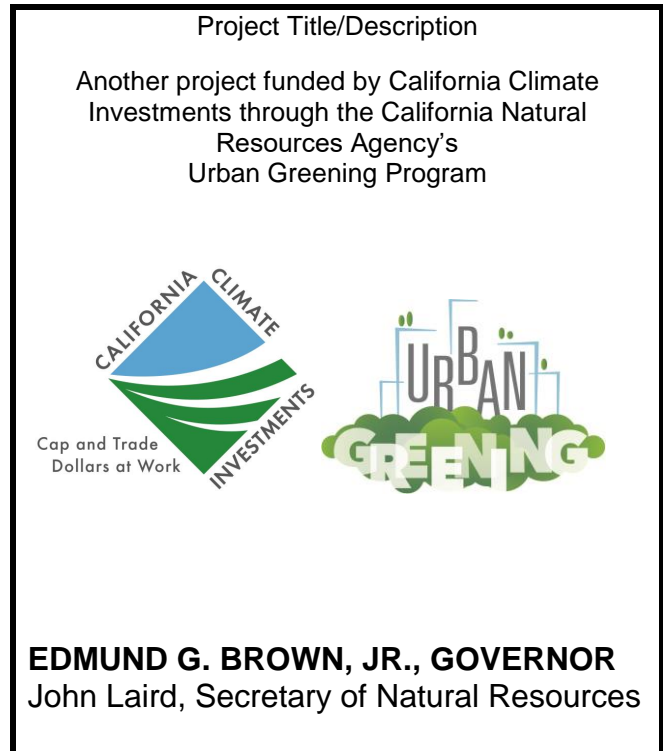
The cost of the sign(s) is an eligible project cost. More permanent signage is encouraged.

### Appropriateness of Signs

For projects where the required sign may be out of place or where covered by local sign ordinances, the grants administrator in consultation with the grantee may authorize a sign that is appropriate to the project in question.

### Signs on State Highways

Signs placed within the state highway right-of-way may require a Caltrans encroachment permit. Contact your local Caltrans District Office early in the planning process for more information. Visit <http://dot.ca.gov/hq/construc/districtmap.htm> to locate your Caltrans District Office.



**State Approval**

The Grantee shall submit proposed locations, size, number of signs, language, and design for review prior to ordering signs. Funds for development projects will not be reimbursed until signage has been approved and installed.

**Program Recognition**

The Grantee shall use the text below, in conjunction with the California Climate Investments logo and the Urban Greening logo on any project announcements, flyers, and new releases.

“The Urban Greening Program is part of California Climate Investments, a statewide program that puts billions of cap-and-trade dollars to work reducing greenhouse gas emissions, strengthening the economy and improving public health and the environment—particularly in disadvantaged communities. The cap-and-trade program also creates a financial incentive for industries to invest in clean technologies and develop innovative ways to reduce pollution. California Climate Investment projects include affordable housing, renewable energy, public transportation, zero-emission vehicles, environmental restoration, more sustainable agriculture, recycling and much more. At least 35 percent of these investments are made in disadvantaged and low-income communities. For more information, visit [California Climate Investments](#).”

**APPENDIX H - RESOLUTION TEMPLATES**

**Applicant Resolution Template** (all applicants)

Resolution No: \_\_\_\_\_

**RESOLUTION (GOVERNING BODY OF GRANTEE)  
APPROVING THE APPLICATION FOR GRANT FUNDS FOR  
CALIFORNIA CLIMATE INVESTMENTS URBAN GREENING PROGRAM**

WHEREAS, the Legislature and Governor of the State of California have provided funds for the program shown above; and  
WHEREAS, the California Natural Resources Agency has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and  
WHEREAS, said procedures established by the California Natural Resources Agency require a resolution certifying the approval of application(s) by the Applicants governing board before submission of said application(s) to the State; and  
WHEREAS, the applicant, if selected, will enter into an agreement with the State of California to carry out the Project

NOW, THEREFORE, BE IT RESOLVED that the \_\_\_\_\_ (Governing Body)

1. Approves the filing of an application for the (name of the project);
2. Certifies that applicant understands the assurances and certification in the application, and
3. Certifies that applicant or title holder will have sufficient funds to operate and maintain the project consistent with the land tenure requirements; or will secure the resources to do so, and
4. Certifies that it will comply with the provisions of Section 1771.5 of the State Labor Code, and
5. If applicable, certifies that the project will comply with any laws and regulations including, but not limited to, legal requirements for building codes, health and safety codes, disabled access laws, environmental laws and, that prior to commencement of construction, all applicable permits will have been obtained, and
6. Certifies that applicant will work towards the Governor’s State Planning Priorities intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety as included in Government Code Section 65041.1, and
7. Appoints the (designate position, not person occupying position) \_\_\_\_\_ , or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s).

Approved and adopted the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_. I, the undersigned, hereby certify that the foregoing Resolution Number \_\_\_\_\_ was duly adopted by the \_\_\_\_\_.  
(Governing Body)

Following Roll Call Vote:   Ayes: \_\_\_\_\_  
  Nos:     \_\_\_\_\_

Absent: \_\_\_\_\_

\_\_\_\_\_  
Clerk/Secretary for the Governing Board

**Fiscal Sponsor Resolution** (for use with a fiscal sponsor)

Resolution endorsing application for an Urban Greening Grant and determining appropriate environmental impact document, conditionally accepting grant if offered, and designating contract manager and fiscal agent.

WHEREAS, the California Natural Resources Agency, Urban Greening Grant Program has announced the availability of funds for grants; and

WHEREAS, said grants are intended to help create healthy and vibrant communities by establishing and enhancing parks and open space, using natural solutions to improving air and water quality and reducing energy consumption, and creating more walkable and bike-able trails.

WHEREAS, the \_\_\_\_\_ (Governing Body) has proposed to co-sponsor a grant application with \_\_\_\_\_; and

WHEREAS, we have concluded the project proposed for funding with the grant funds would be beneficial and (pick one of the following):

- a. Categorically exempt from requirements of the California Environmental Quality Act (CEQA) under one or more of the following exemptions per sections 15300 – 15329 of the CEQA Guidelines: (select appropriate classes)
- b. We have adopted a(n) (identify the name and year of the environmental document, e.g. Negative or Mitigated Negative Declaration, Environmental Impact Report, date)
- c. We will comply with all requirements of CEQA prior to implementation of the project.

WHEREAS, we consider the prospects of receiving a grant to be reasonably likely.

**NOW, THEREFORE, BE IT RESOLVED**

We the (Local Public Agency/Non Profit Governing Board) approve the joint application with (Applicant’s Organization) for an Urban Greening Program grant.

If offered such a grant, we authorize (Title of Signatory for Fiscal Sponsor) to accept and sign any contract for administration of the grant funds, and (Title of Project Manager) to act as Project Manager for the project. We hereby delegate authority to the Project Manager to serve as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s). The delegation of authority to submit invoices required written consent by both grantees which will be provided to the California Natural Resources Agency.

**FISCAL SPONSOR**

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

Date: \_\_\_\_\_

**Applicant Resolution Template** (for use with a fiscal sponsor)

Resolution endorsing application for an Urban Greening Grant and determining appropriate environmental impact document, conditionally accepting grant if offered, and designating contract manager and fiscal agent.

WHEREAS, the California Natural Resources Agency, Urban Greening Grant Program has announced the availability of funds for grants; and

WHEREAS, said grants are intended to help create healthy and vibrant communities by establishing and enhancing parks and open space, using natural solutions to improving air and water quality and reducing energy consumption, and creating more walkable and bike-able trails.

WHEREAS, the \_\_\_\_\_ (Governing Body) has proposed to co-sponsor a grant application with \_\_\_\_\_; and

WHEREAS, we have concluded the project proposed for funding with the grant funds would be beneficial and (pick one of the following):

- a. Categorically exempt from requirements of the California Environmental Quality Act (CEQA) under one or more of the following exemptions per sections 15300 – 15329 of the CEQA Guidelines: (select appropriate classes)
- b. We have adopted a(n) (identify the name and year of the environmental document, e.g. Negative or Mitigated Negative Declaration, Environmental Impact Report, date)
- c. We will comply with all requirements of CEQA prior to implementation of the project.

WHEREAS, we consider the prospects of receiving a grant to be reasonably likely.

**NOW, THEREFORE, BE IT RESOLVED**

We the (Applicants Governing Board) approve the joint application with (Fiscal Sponsor) for an Urban Greening Program grant. If offered such a grant, we authorize (Title of Signatory for Applicant) to accept the grant and sign any contract for administration of the grant funds, and (Title of Project Manager) to act as Project Manager for the project. We hereby delegate authority to the Project Manager to serve as agent to conduct all negotiations, execute and submit all documents including, but not limited to applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s). The delegation of authority to submit invoices required written consent by both grantees which will be provided to the California Natural Resources Agency.

ORGANIZATION NAME

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

Date: \_\_\_\_\_

## APPENDIX I - ELIGIBLE COSTS

Direct project-related costs incurred during the project performance period specified in the grant agreement may be eligible for funding and must be supported by appropriate documentation. **Costs incurred outside of the project performance period and overhead rates/costs are not eligible.**

Projects financed with funds made available by the Urban Greening Program must comply with all provisions of the California Labor Code. Include prevailing wages in your cost estimates, as applicable. Refer to the Department of Industrial Relations' Division of Labor Statistics and Research website at <http://www.dir.ca.gov/DLSR/PWD/index.htm> for general prevailing wage determinations. For questions about prevailing wage, contact Department of Industrial Relations.

### All Projects

1. **Contingency** – Up to ten percent (10%) of the grant may be budgeted for contingency costs. All such costs must be eligible per these guidelines. Contingency funds may not be used to increase the amount of funds that can be used for project management/non-construction (pre-implementation).
2. **Signs and Interpretive Aids** – Costs include construction of exhibits, kiosks, display boards or signs located at and communicating information about the Urban Greening Project and the required funding acknowledgement signs (see Appendix G).
3. **Project Management/Non-Construction** – Up to 25 percent (25%) of the grant funds for a development project may be spent on project management/non-construction (pre-implementation) costs including but not limited to CEQA compliance, environmental assessments, planning and design, outreach, architecture and engineering, construction plans, permitting, direct project administration and management.

The State will award pre-implementation funds for eligible proposed projects provided the applicant agrees that if the proposed project is not ultimately approved for implementation or awarded funding by the State, but is instead funded and implemented by entities independent of the State, and which rely in whole or in part on the environmental documentation paid for by the pre-implementation award, that upon approval by those other entities, all funds expended by the State for the environmental review will be repaid.

4. **Personnel or Employee Services** – Costs for services of the grantee's employees directly engaged in project execution must be computed according to the Grantee's prevailing wage or salary scales, and may include benefits such as vacation, sick leave, Social Security contributions, etc., that are customarily charged to the recipient's various projects, **excluding** overhead allocations. Costs charged to the project must be computed on actual time spent on the project and evidenced by time and attendance records describing the work and payroll records. Overtime costs may be allowed under the recipient's established policy provided the regular work time was devoted to the same project.

Salaries and wages claimed for employees working on State grant funded projects must not exceed the Grantee's established rates for similar positions.

5. **Construction** – All necessary labor and construction activities to complete the project are eligible, including site preparation (demolition, clearing and grubbing, excavation, grading), monitoring (including soil and water testing during construction), onsite/field implementation

and construction supervision, etc. The grant can pay for up to three years of plant establishment, as deemed appropriate.

6. **Equipment** – Equipment owned by the grantee may be charged to the project for each use. Equipment use charges must be made in accordance with the Grantee's normal accounting practices. The equipment rental rates published by the California Department of Transportation or local prevailing rental rates may be used as a guide.

If the Grantee's equipment is used, a report or source document must describe the work performed, indicate the hours used, relate the use to the project, and be signed by the operator and supervisor.

Equipment may be leased, rented, or purchased, whichever is most economical. If equipment is purchased, its residual market value must be credited to the project costs upon completion of the project.

7. **Supplies and Materials** – Supplies and materials may be purchased for a specific project or may be drawn from a central stock, providing they are claimed at a cost no higher than paid by the grantee. When supplies and/or materials are purchased with the intention of constructing a piece of equipment, a structure or a part of a structure, the costs that are charged as supplies and materials may be capitalized according to the Grantee's normal practice or policy. If capitalized, only that cost reasonably attributable to the project may be claimed under the project.
8. **Contracted Services** – may be reimbursed if invoices are presented with payment requests that identify the specific project activities and are supported by evidence of payment.
9. **Outreach** - Costs of engaging community members through community meetings and events directly related to the project are eligible.
10. **Other Expenditures** - In addition to the major categories of expenditures, funding may be provided for miscellaneous costs necessary for execution of the project at the discretion of the State. Some of these costs may include:
  - Premiums on hazard and liability insurance to cover personnel and/or property.
  - Work performed by another section or department of the grantee's agency that can be documented as direct costs to the project (see requirements above under Personnel or Employee Services).
  - Transportation costs for moving equipment and/or personnel.

### **Projects with an acquisition**

1. **Acquisition** – Costs of acquiring real property are eligible and include the purchase price of the property at or below approved fair market value, appraisals, surveys for boundary adjustments, preliminary title reports, escrow fees, and title insurance fees. Direct staff and consultant costs are limited to \$10,000 per grant-funded acquisition. Costs of obtaining State approvals of purchase price and transaction reviews from the State Department of General Services (DGS) are also allowable. It is recommended that \$10,000 be budgeted.



## APPENDIX J – SAMPLE COST ESTIMATE/BUDGET FORM FOR URBAN GREENING PROJECTS

APPENDIX J – <u>SAMPLE</u> COST ESTIMATE/BUDGET FORM FOR URBAN GREENING PROJECTS								
PROJECT ELEMENT (SAMPLES ONLY)	Unit of Measure	Unit Price	Quantity	Total Amount	UG GRANT	Named Funding Source 1	Named Funding Source 2	Named Funding Source 3
<b>1. PROJECT MANAGEMENT</b>								
Planting Palette/Design								
Direct Project Administration								
Permits/CEQA								
Technical Consulting								
<b>Subtotal Task 1 (not to exceed 25% of grant)</b>								
<b>2. Site Preparation</b>								
Clearing/Grubbing								
Grading								
Mobilization								
<b>Subtotal Task 2</b>								
<b>3. Green Elements</b>								
Trees (include type)								
Bioswales								
Irrigation								
Mulch								
Plants (include type)								
<b>Subtotal Task 3</b>								
<b>3. Roadwork</b>								
Class II Bicycle Lane								
Road Signs								
<b>4. Other</b>								
Hazard Insurance								
Signs and Interpretative Aids								
Funding Acknowledgement Sign								
<b>Subtotal Task 4</b>								
<b>5. Acquisition (Acquisition Projects Only)</b>								
Estimated Fair Market Value of the Property								
Preliminary Title Reports/Appraisal								
Surveying (limited to boundary line adjustment)								
Direct Costs (staff and consultants- not to exceed \$10,000 per grant)								
State approval costs of appraisal transaction review								
<b>Subtotal Task 5 (Acquisition)</b>								
Contingency (not to exceed 10% of grant)								
<b>TOTAL</b>								

\*All project expenditure documentation should be available for audit whether paid with grant funds or other funds.

\*\*Only direct project management costs are eligible; no overhead/indirect costs are reimbursable. In-service payroll may not include a "billable rate" or administrative cost allocation.

## Cost Estimate/Budget Form Instructions

1. All cost elements included should be clearly described in the project description section. Task listing should be detailed and customized to fit your project proposal.
2. Non-construction/project management costs shall not exceed 25% of the grant.
3. Each funding source, whether in-kind or cash should have its own column. Specify in-kind or cash in each column heading.
4. The unit price multiplied by the quantity equals the Total Amount column.
5. Cost of State approval of appraisal review: Use \$10,000 per escrow.
6. For acquisitions, direct staff and consultation costs are not to exceed \$10,000 per grant.
7. Funding Acknowledgement Sign- Provide estimated cost of required signage (see Appendix G).
8. The Urban Greening Grant Program funding and Other Funding Sources should sum to the Total Amount.

**APPENDIX K - WILLING SELLER LETTER**  
(Acquisition Projects Only)

All acquisition application packages must include willing seller letters from each legal owner. The letter must include the following information and be signed and dated from the legal owner(s) of each parcel to be acquired.

***(Sample -- Willing Seller Letter)***

Date:

To:           Urban Greening Grant Program  
              c/o California Natural Resources Agency

From:        Name(s) of Legal Owner (*Trust, etc.*)  
              Address of Legal Owner(s)

Re:           Parcel number(s):  
              County:  
              Property Address:

To Whom It May Concern:

This letter is provided to confirm that (*name of owner, trust, etc.*), owner of the above referenced property, is a willing participant in the proposed real property transaction. Should grant funds be awarded to the grant applicant (*name of grant applicant*), then (*name of owner, trust, etc.*), as Seller, is willing to enter into negotiations for the sale of the real property for a purchase price not to exceed fair market value.

Acknowledged:

\_\_\_\_\_  
*Signature of land owner (trustee, etc.)*

\_\_\_\_\_  
*Date signed*

## APPENDIX L - PROPERTY DATA SHEET

Complete the Property Data Sheet, listing all parcels included in the proposed project, as well as the owner(s) of each parcel. Indicate and attach all required documents\* including any clarifying comments below. Attach additional sheets if necessary.

No.	Owner Name	Assessor Parcel Number(s)	Acreage	Indicate type of ownership. For acquisitions, indicate type of purchase			For all parcels, indicate *document used to demonstrate ownership and attach a copy of each document-clearly labeled with the APN-to this document	If parcel(s) not owned by applicant(s) indicate *document verifying long-term Permission to Develop and maintain <b>and attach here</b>						Entity to perform O&M	# of years O&M to be performed
				Fee Simple	Easement	Other (describe)		Proof of Ownership (tax bill, grant deed, etc.)	O&M Agreement	Lease	JPA	Letter from Owner	Other (describe)		
1															
2															
3															
4															
5															
6															
7															
8															
9															
10															

**Comments:**

**Total Number of Parcels:** \_\_\_\_\_ **Total Number of Acres:** \_\_\_\_\_

**APPENDIX M: PROJECT PERMIT/APPROVAL STATUS**

List is not all inclusive. It is Grantee’s responsibility to comply with all applicable permits.

Permitting Agency	Type of Requirement	Required ?	Applied ?	Acquired ?	Date Anticipated
<b>State Agencies:</b>					
California Department of Fish and Wildlife	Streambed Alteration Agreement Permit (Section 1600)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
California Department of Fish and Wildlife	Incidental Take Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
CalTrans	Encroachment Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coastal Commission	Coastal Development Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coastal Commission	Letter of Consistency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Model Water Efficient Landscape Ordinance		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Regional Water Quality Control Board	401 Water Quality Certification or Waste Discharge Requirement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Water Resources Control Board	Water Rights Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Water Resources Control Board	General Industrial Storm Water Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Central Valley Flood Protection Board	Permission to Encroach on Waterways within Designated Floodways	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Lands Commission	Permit required if using State owned property	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Office of Historic Preservation	Cultural Resources-Submission of findings to State Historic Preservation Officer (National Historic Preservation Act, Section 106)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Federal Agencies</b>					
U.S. Fish and Wildlife Service (USFWS)	Section 7 consultation if federal nexus (see ACOE), or Section 10 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Army Corps of Engineers (ACOE)	Clean Water Act, Section 404 Permit, will consult w/USFWS & NMFS Section 7	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Army Corps of Engineers	Rivers and Harbors Act, Section 10 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Coast Guard / U.S. Army Corps of Engineers	Rivers and Harbors Act, Section 9 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. National Resources Conservation Service	Consultation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
National Marine Fisheries Service (NMFS)	Section 7 consultation if federal nexus see ACOE, or Section 10 Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Local and Regional Planning Agencies</b>					
City/County	Grading Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
City/County	Environmental Health Department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
City/County	Model Water Efficient Landscape Ordinance – Landscape Documentation Package	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
San Francisco Bay Conservation and Development Commission	Any relevant permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Tahoe Regional Planning Agency	Any relevant permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Local Resource Conservation District	Consultation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Flood Control Districts	Floodway & Hydrological Analysis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Others</b> (e.g., CalRecycle, State Contractors Board, State Lands Commission):					
<b>Describe any potential delays due to permitting (indicate specific permits):</b>					

## APPENDIX N- SAMPLE GRANT AGREEMENT

### GRANT AGREEMENT State of California - The Natural Resources Agency

**Grantee Name:**

**Fiscal Sponsor (If applicable):**

**Project Title:**

**Agreement Number:**

**Authority:** Senate Bill (SB) 859

**Program:** Urban Greening Grant Program

### PROJECT DESCRIPTION

Brief Description:

A detailed Project Scope and activities, project schedule and Project Budget are described and attached hereto as Exhibit A.

Grant Funds are to be used to preserve, enhance, increase or establish community green areas such as urban forest, open spaces, wetlands and community spaces, in accordance with the provisions contained in the Urban Greening Grant Program and this Agreement.

### TERMS AND CONDITIONS OF GRANT

#### Special Provisions

1. Recipients of Grant Funds shall post signs acknowledging the source of the funds pursuant to the Urban Greening Grant Program Grant Guidelines and Application (Application Guidelines). Size, location and number of signs shall be determined by the State. Required signage must be in place before Grant Funds for construction will be released.
2. Upon completion of detailed Project design, plans and specifications, Grantee shall provide to the State for review and approval a revised detailed Project Budget, Project Scope and detailed site plan. If Project includes habitat restoration or landscaping, Grantee shall provide a planting palette demonstrating how non-invasive, low-water, drought-resistant vegetation will be used in the Project. Approval by the State of such plans and specifications, or any other approvals provided for in this Agreement, shall be for scope of work as described in Exhibit A and shall not relieve Grantee of the obligation to construct and maintain the facilities, or carry out any other obligations required by this Agreement, in accordance with applicable law or any other standards ordinarily applied to such work or activity.
3. The Grantee may be required to record Deed Restrictions, incorporating by reference this Grant Agreement and giving public notice that the Grantee received Funds under this Agreement in order to assist Grantee in

developing the real property and that, in consideration for the receipt of the Grant Funds, the Grantee has agreed to the terms of this Agreement.

4. As conditions precedent to the State's obligation to make any construction funding available pursuant to this Agreement, Grantee shall first provide evidence of compliance with CEQA by XX/XX/20XX.
5. If the Grantee is a nonprofit organization and ceases to exist, all of its rights, title and interest in the real property shall vest in the State of California. The State may, at its discretion, identify an appropriate public or private entity to accept the right, title and interest in the real property in lieu of the State.
6. As conditions precedent to the State's obligation to make any funding available pursuant to this Agreement, Grantee shall first provide evidence of adequate land tenure and evidence that the project will be operated and maintained for a minimum of twenty (20) years satisfactory to the State for all land to be improved under this Agreement.

## **General Provisions**

### **A. Definitions**

1. The term "Act" means Senate Bill (SB) 859.
2. The term "Agreement" means this Grant Agreement.
3. The term "Application" means the individual application form, its required attachments for grants pursuant to the enabling legislation and/or program and any applicable materials supplied by applicant to the Natural Resources Agency prior to award.
4. The term "Application Guidelines" means the Urban Greening Grant Program Grant Guidelines and Application.
5. The term "Development" means improvement, rehabilitation, restoration, enhancement, preservation, protection and interpretation or other similar activities.
6. The term "Fair Market Value" means the value placed upon the property as supported by an appraisal that has been reviewed and approved by the California Department of General Services (DGS).
7. The term "Grant" or "Grant Funds" means the money provided by the State to the Grantee in this Agreement.
8. The term "Grantee" means an applicant who has a signed agreement for Grant Funds.
9. The term "Interpretation" means visitor-serving amenities that communicate the significance and value of natural, historical and cultural resources in a manner that increases the understanding and enjoyment of these resources, or other similar activities.
10. The term "Other Sources of Funds" means cash or in-kind contributions that are required or used to complete the Urban Greening project beyond the Grant Funds provided by this Agreement.
11. The term "Payment Request Form" means Form RA212.
12. The term "Project" means the Development activity described in the application as modified by Exhibit A to be accomplished with Grant Funds.
13. The term "Project Budget" means the State approved cost estimate included as Exhibit A to this Agreement.

14. The term "Project Scope" means the description or activity for work to be accomplished by the Urban Greening Project.
15. The term "Public Agency" means any State of California department or agency, a county, city, public district or public agency formed under California law.
16. The term "State" means the Secretary for Natural Resources or his/her representatives, or other political subdivision of the State.

## B. Project Execution

1. Subject to the availability of funds in the Act, the State hereby grants to the Grantee a sum of money (Grant Funds) not to exceed the amount stated on the signature page in consideration of and on condition that the sum be expended in carrying out the purposes as set forth in the description of Project in this Agreement and its attachments and under the Terms and Conditions set forth in this Agreement.
2. Grantee shall furnish any and all additional funds that may be necessary to complete the Project.
3. Grantee shall complete the Project in accordance with the Project Performance Period set forth on the signature page, unless an extension has been formally granted by the State and under the Terms and Conditions of this Agreement. Extensions may be requested in advance and will be considered by State, at its sole discretion, in the event of circumstances beyond the control of the Grantee, but in no event beyond **May 1, 2020**.
4. Grantee shall at all times ensure that Project complies with the California Environmental Quality Act (CEQA) (Public Resources Code, Division 13, commencing with section 21000, et. seq., Cal Code Regs tit. 14, section 15000 et. seq.) and all other environmental laws, including but not limited to obtaining all necessary permits. Grant Funds will not be disbursed before the close of the period for legal challenge under CEQA.

Grant Funds for planning and document preparation may be available sooner if included in the grant Project Scope (Exhibit A) and approved by the State. CEQA compliance shall be completed within one (1) year from the Grant Agreement start date, unless an extension is granted by the State.

Changes to the scope resulting from CEQA compliance are permitted provided the State determines that the project continues to meet all objectives of the Urban Greening Grant Program and is consistent with the intent cited in the original Application.

If a grantee's project is disapproved on grounds related to the Resource Agency's CEQA determination, the grantee shall have the option of either: (1) reimbursing the Resource Agency for all State-reimbursed preliminary costs (e.g., planning, design, etc.), or (2) relinquishing any planning/design documents, including all copies, reproductions, and variations resulting from said funding, without a license to use or otherwise retain in any form.

5. Projects must comply with any applicable laws pertaining to prevailing wage and labor compliance.
6. Grantee certifies that the Project does and will continue to comply with all current laws and regulations which apply to the Project, including, but not limited to, legal requirements for construction contracts, building codes, environmental laws, health and safety codes, and disabled access laws. Grantee certifies that, prior to commencement of construction, all applicable permits and licenses (e.g., state contractor's license) will have been obtained.
7. Grantee shall provide access by the State upon 24 hours' notice to determine if Project work is in accordance with the approved Project Scope, including a final inspection upon Project completion.



8. Prior to the commencement of any work, Grantee agrees to submit in writing to the State for prior approval any deviation from the original Project Scope per Exhibit A and the Application. Changes in Project Scope must continue to meet the need cited in the original application or they will not be approved. Any modification or alteration in the Project as set forth in the Application on file with the State must be submitted to the State for approval. Any modification or alteration in the Project must also comply with all current laws and regulations, including but not limited to CEQA.
9. Grantee shall provide for public access to the Project in accordance with the intent of the Act.
10. Grantee must have (1) fee title, (2) leasehold, or (3) other interest to Project lands and demonstrate to the satisfaction of the State that the proposed Project will provide public benefits that are commensurate with the type and duration of the interest in land. Any acquisition of Project lands by Grantee following award shall not involve eminent domain proceedings or threat of eminent domain proceedings.
11. Grantee shall promptly provide photographs of the site during and after implementation of Project at the request of the State.

### C. Project Costs

1. Any Grant Funds provided to Grantee under this Agreement will be disbursed for eligible costs, on a reimbursement basis, as follows, but shall not exceed in any event the amount set forth on the signature page of this Agreement:
  - a. Approved direct management costs or construction and Development costs. Up to ten percent (10%) of the reimbursement amount will be held back and issued as a final payment upon completion of the Project.
  - b. Remaining Grant Funds shall be paid up to the total amount of the Grant Funds or the actual Project cost, whichever is less, upon completion of the Project, receipt of a detailed summary of Project costs from the Grantee found to be satisfactory by the State, and the satisfactory completion of a site inspection by the State.
2. Payment Documentation:
  - a. All payment requests must be submitted using a completed Payment Request Form. This form must be accompanied by an itemized list of all expenditures that clearly documents the check numbers, dates, recipients, line-item description as described in the Project Budget approved by the State and amounts. Each payment request must also include proof of payment such as receipts, paid invoices, canceled checks or other forms of documentation demonstrating payment has been made.
  - b. Any payment request that is submitted without the required itemization and documentation will not be authorized. If the payment request package is incomplete, inadequate or inaccurate, the State will inform the Grantee and hold the payment request until all required information is received or corrected. Any penalties imposed on the Grantee by a contractor, or other consequence, because of delays in payment will be paid by the Grantee and is not reimbursable under this Agreement.
3. Grant Funds in this award have a limited period in which they must be expended. Grantee expenditures funded by the State must occur within the time frame of the Project Performance Period as indicated in this Agreement.
4. Except as otherwise provided herein, the Grantee shall expend Grant Funds in the manner described in the Exhibit A approved by the State. The total dollars of a category in the Project Budget may be increased by up to ten percent (10%) through a reallocation of funds from another category, without approval by the State. However, the Grantee shall notify the State in writing when any such reallocation is made, and shall identify both the item(s) being increased and those being decreased. Any cumulative increase or decrease of more than ten percent (10%) from the original budget in the amount of a

category must be approved by the State. In any event, the total amount of the Grant Funds may not be increased, nor may any adjustments exceed the limits for management costs as described in the Application Guidelines.

#### D. Project Administration

1. Grantee shall promptly submit written Project reports and/or photographs upon request by the State. In any event Grantee shall provide the State a report showing total final Project expenditures with the final payment request and required closing documents.
2. Grantee shall make property and facilities acquired or developed pursuant to this Agreement available for inspection upon request by the State.
3. Grantee shall use any income earned by the Grantee from use of the Project to further Project purposes, or, if approved by the State, for related purposes within the jurisdiction.
4. Grantee shall submit all documentation for Project completion, including a notice of completion as applicable and final reimbursement within ninety (90) days of Project completion, but in no event any later than **May 1, 2020**.
5. Final payment is contingent upon State verification that Project is consistent with Project Scope as described in Exhibit A, together with any State approved amendments.
6. This Agreement may be amended by mutual agreement in writing between Grantee and State. Any request by the Grantee for amendments must be in writing stating the amendment request and reason for the request. The Grantee shall make requests in a timely manner and in no event less than sixty (60) days before the effective date of the proposed amendment.
7. Grantee must report to the State all sources of other funds for the Project.

#### E. Project Termination

1. Prior to the completion of Project construction, either party may terminate this Agreement by providing the other party with thirty (30) days' written notice of such termination. The State may also terminate this Grant Agreement for any reason at any time if it learns of or otherwise discovers that there is a violation of any state or federal law or policy by Grantee which affects performance of this or any other grant agreement or contract entered into with the State.
2. If the State terminates without cause the Agreement prior to the end of the Project Performance Period, the Grantee shall take all reasonable measures to prevent further costs to the State under this Agreement. The State shall be responsible for any reasonable and non-cancelable obligations incurred by the Grantee in the performance of the Agreement prior to the date of the notice to terminate, but only up to the undisbursed balance of funding authorized in this Agreement.
3. If the Grantee fails to complete the Project in accordance with this Agreement, or fails to fulfill any other obligations of this Agreement prior to the termination date, the Grantee shall be liable for immediate repayment to the State of all amounts disbursed by the State under this Agreement, plus accrued interest and any further costs related to the Project. The State may, at its sole discretion, consider extenuating circumstances and not require repayment for work partially completed provided that the State determines it is in the State's best interest to do so. This paragraph shall not be deemed to limit any other remedies available to the State for breach of this Agreement.
4. Failure by the Grantee to comply with the terms of this Agreement or any other Agreement under the Act may be cause for suspension of all obligations of the State hereunder.

5. Failure of the Grantee to comply with the terms of this Agreement shall not be cause for suspending all obligations of the State hereunder if, in the judgment of the State, such failure was due to no fault of the Grantee. At the discretion of the State, any amount required to settle at minimum cost any irrevocable obligations properly incurred shall be eligible for reimbursement under this Agreement.
6. Because the benefit to be derived by the State, from the full compliance by the Grantee with the terms of this Agreement, is the preservation, enhancement or establishment of community green areas and spaces for the people of the State of California, and because such benefit exceeds to an immeasurable and unascertainable extent the amount of money furnished by the State by way of Grant Funds under the provisions of this Agreement, the Grantee agrees that payment by the Grantee to the State of an amount equal to the amount of the Grant Funds disbursed under this Agreement by the State would be inadequate compensation to the State for any breach by the Grantee of this Agreement. The Grantee further agrees therefore, that the appropriate remedy in the event of a breach by the Grantee of this Agreement shall be the specific performance of this Agreement, unless otherwise agreed to by the State.

#### F. Hold Harmless

1. Grantee shall waive all claims and recourses against the State, including the right to contribution for loss or damage to persons or property arising from, growing out of or in any way connected with or incident to this Agreement, except claims arising from the gross negligence of State, its officers, agents and employees.
2. Grantee shall indemnify, hold harmless and defend State, its officers, agents and employees in perpetuity against any and all claims, demands, damages, costs, expenses or liability costs arising out of the Project, including Development, construction, operation or maintenance of the property described in the Project description which claims, demands or causes of action arise under Government Code Section 895.2 or otherwise, including but not limited to items to which the Grantee has certified, except for liability arising out of the gross negligence of State, its officers, agents or employees. Grantee acknowledges that it is solely responsible for compliance with items to which it has certified.
3. Grantee and State agree that in the event of judgment entered against the State and Grantee because of the gross negligence of the State and Grantee, their officers, agents or employees, an apportionment of liability to pay such judgment shall be made by a court of competent jurisdiction. Neither party shall request a jury apportionment.

#### G. Financial Records

1. Grantee shall maintain satisfactory financial accounts, documents and records for the Project and to make them available to the State for auditing at reasonable times. Grantee shall also retain such financial accounts, documents and records for three (3) years after final payment and one (1) year following an audit.
2. Grantee agrees that during regular office hours, the State and its duly authorized representatives shall have the right to inspect and make copies of any books, records or reports of the Grantee pertaining to this Agreement or matters related thereto. Grantee shall maintain and make available for inspection by the State accurate records of all of its costs, disbursements and receipts with respect to its activities under this Agreement.
3. Grantee shall use applicable Generally Accepted Accounting Principles, unless otherwise agreed to by the State.

#### H. Use of Facilities

1. The real property (including any portion of it or any interest in it) may not be sold or transferred without the written approval of the State of California, acting through the Natural Resources Agency, or its

successor, provided that such approval shall not be unreasonably withheld as long as the purposes for which the Grant was awarded are maintained.

2. Grantee shall maintain, operate and use the Project in fulfillment of the purpose funded pursuant to this Grant for a minimum of XX YEARS, consistent with the Land Tenure/Site Control requirements included in the Application Guidelines. The Grantee, or the Grantee's successor in interest in the property, may assign without novation the responsibility to maintain and operate the property in accordance with this requirement only with the written approval of the State. Grantee may be excused from its obligations for operation and maintenance of the Project site only upon the written approval of the State for good cause. "Good cause" includes, but is not limited to, natural disasters that destroy the Project improvements and render the Project obsolete or impracticable to rebuild.
3. Grantee shall use the property for the purposes for which the Grant was made and shall make no other use or sale or other disposition of the property. This Agreement shall not prevent the transfer of the property from the Grantee to a Public Agency, if the successor Public Agency assumes the obligations imposed by this Agreement.
4. If the use of the property is changed to a use that is not permitted by the Agreement, or if the property is sold or otherwise disposed of, at the State's sole discretion, an amount equal to (1) the amount of the Grant (2) the Fair Market Value of the real property, or (3) the proceeds from the sale or other disposition, whichever is greater, may be reimbursed to the State. If the property sold or otherwise disposed of is less than the entire interest in the property funded in the Grant, an amount equal to either the proceeds from the sale or other disposition of the interest or the Fair Market Value of the interest sold or otherwise disposed of, whichever is greater, shall be reimbursed to the State.
5. The Grantee shall not use or allow the use of any portion of the real property for mitigation without the written permission of the State.
6. The Grantee shall not use or allow the use of any portion of the real property as security for any debt.

I. Nondiscrimination

1. During the performance of this grant, grantee and its subcontractors shall not unlawfully discriminate, harass or allow harassment, against any person because of sex, sexual orientation, race, color, religious creed, marital status, denial of family and medical care leave, ancestry, national origin, medical condition (cancer/genetic characteristics), age (40 and above), disability (mental and physical) including HIV and AIDS, denial of pregnancy disability leave or reasonable accommodation. Grantee and subcontractors shall ensure that the evaluation and treatment of all persons, and particularly their employees and applicants for employment are free from such discrimination and harassment. Grantee and its subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code, §12900 et seq.) and the applicable regulations promulgated thereunder (Cal. Code Regs, tit. 2, §7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code, §12990 (a)–(f), are incorporated into this grant by reference and made a part hereof as if set forth in full (Cal. Code Regs, tit. 2, §7285.0 et seq.). Grantee shall include this non-discrimination and compliance provisions of this clause in all subcontracts to perform work under the grant.
2. The Grantee shall not discriminate against any person on the basis of residence except to the extent that reasonable difference in admission or other fees may be maintained on the basis of residence and pursuant to law.
3. The completed Project and all related facilities shall be open to members of the public generally, except as noted under the special provisions of this Agreement or under provisions of the Act.

#### J. Application Incorporation

The Grant Guidelines and the Application and any subsequent changes or additions to the Application approved in writing by the State are hereby incorporated by reference into this Agreement as though set forth in full in this Agreement.

#### K. Severability

If any provision of this Agreement or the application thereof is held invalid, that invalidity shall not affect other provisions or applications of this Agreement which can be given effect without the invalid provision or application, and to this end the provisions of this Agreement are severable.

#### L. Waiver

No term or provision hereof will be considered waived by either party, and no breach excused by either party, unless such waiver or consent is in writing and signed on behalf of the party against whom the waiver is asserted. No consent by either party to, or waiver of, a breach by either party, whether expressed or implied, will constitute consent to, waiver of or excuse of any other, different or subsequent breach by either party.

#### M. Assignment

Except as expressly provided otherwise, this Agreement is not assignable by the Grantee either in whole or in part.

#### N. Disputes

If the Grantee believes that there is a dispute or grievance between Grantee and the State arising out of or relating to this Agreement, the Grantee shall first discuss and attempt to resolve the issue informally with the Agency Grants Administrator. If the issue cannot be resolved at this level, the Grantee shall follow the following procedures:

1. If the issue cannot be resolved informally with the Agency Grants Administrator, the Grantee shall submit, in writing, a grievance report together with any evidence to the Deputy Assistant Secretary for Bonds and Grants for the Natural Resources Agency. The grievance report must state the issues in the dispute, the legal authority, or other basis for the Grantee's position and the remedy sought. Within ten (10) working days of receipt of the written grievance report from the Grantee, the Deputy Assistant Secretary shall make a determination on the issue(s) and shall respond in writing to the Grantee indicating the decision and reasons therefore. Should the Grantee disagree with the Deputy Assistant Secretary's decision, the Grantee may appeal to the Assistant Secretary for Administration and Finance for the Natural Resources Agency.
2. The Grantee must submit a letter of appeal to the Assistant Secretary explaining why the Deputy Assistant Secretary's decision is unacceptable. The letter must include, as an attachment, copies of the Grantee's original grievance report, evidence originally submitted, and response from the Deputy Assistant Secretary. The Grantee's letter of appeal must be submitted within ten (10) working days of the receipt of the Deputy Assistant Secretary's written decision. The Assistant Secretary or designee shall, within twenty (20) working days of receipt of Grantee's letter of appeal, review the issues raised and shall render a written decision to the Grantee. The decision of the Assistant Secretary or designee shall be final.

#### O. Audit Requirements

Urban Greening projects are subject to audit by the State annually and for three (3) years following the final payment of Grant Funds. The audit shall include all books, papers, accounts, documents, or other records of the Grantee, as they relate to the Project for which the Grant Funds were granted.

## APPENDIX O – SENATE BILL 859

SEC. 5. Section 12802.10 is added to the *Government Code*, to read:

A. 12802.10. For purposes of this section, the following terms have the following meanings:

- (1) “Critically underserved community” has the same meaning as defined in Section 5642 of the Public Resources Code.
- (2) “Disadvantaged community” means a community identified pursuant to Section 39711 of the Health and Safety Code or pursuant to Section 75005 of the Public Resources Code.
- (3) “Multiple benefits” includes, but is not limited to, a decrease in air and water pollution or a reduction in the consumption of natural resources and energy, including, but not limited to, the establishment and enhancement of projects listed in subdivision (e).
- (4) “Secretary” means the Secretary of the Natural Resources Agency.

B. To support the development of sustainable communities, the secretary shall manage and award financial assistance, for the preparation and implementation of green infrastructure projects that reduce greenhouse gas emissions and provide multiple benefits, to any of the following:

- (1) A city.
- (2) A county.
- (3) A special district.
- (4) A nonprofit organization.
- (5) An agency or entity formed pursuant to the Joint Exercise of Powers Act (Chapter 5 (commencing with Section 6500) of Division 7 of Title 1) if at least one of the parties to the joint powers agreement qualifies as an eligible applicant, notwithstanding the Joint Exercise of Powers Act.

C. Moneys from the Greenhouse Gas Reduction Fund, created pursuant to Section 16428.8, shall be available, upon appropriation P13 1 by the Legislature, for allocation by the secretary pursuant to this section.

D. The secretary shall develop minimum requirements for awarding moneys for eligible projects pursuant to this section. Those requirements shall require a project, in addition to reducing greenhouse gas emissions, to do at least one of the following:

- (1) Acquire, create, enhance, or expand community parks and green spaces.
- (2) Use natural systems or systems that mimic natural systems to achieve multiple benefits.

E. The multiple benefits of a project may include, but are not limited to, the establishment or enhancement of at least two of the following:

- (1) The greening of existing public lands and structures, including schools.
- (2) Multiobjective stormwater projects, including the construction of permeable surfaces and collection basins and barriers.
- (3) Green streets and alleys that integrate green infrastructure elements into the street or alley design, including permeable surfaces, bioswales, and trees.
- (4) Urban heat island mitigation and energy conservation efforts through greening, including green roof projects.

- (5) Nonmotorized urban trails that provide safe routes for both recreation and travel between residences, workplaces, commercial centers, and schools.
- (6) Tree canopy.
- (7) Wetlands.
- (8) Neighborhood, city, regional, or county parks and open space.
- (9) Climate resilience and adaptation of urban areas that reduce vulnerability to climate impacts and improve the ability of natural systems to buffer the impacts of climate change.
- (10) Economic, social, and health benefits, including, but not limited to, recreational opportunities, workforce education and training, contracting, and job opportunities for disadvantaged communities.

F. The secretary shall give additional consideration to awarding moneys for a project pursuant to this section that meets at least two of the following criteria:

- (1) Provides park or recreational benefits to a critically underserved community or disadvantaged community.
- (2) Is proposed by a critically underserved community or disadvantaged community.
- (3) Develops partnerships with local community organizations and businesses in order to strengthen outreach to disadvantaged communities, provides access to quality jobs for residents of disadvantaged communities, or provides access to workforce education and training.
- (4) Uses interagency cooperation and integration.
- (5) Uses existing public lands and facilitates the use of public resources and investments, including schools.

G. The secretary shall allocate at least 75 percent of the moneys available for the purposes of this section to projects that are located in, and that provide benefits to, disadvantaged communities.

H. In implementing this section, the secretary shall maximize the expenditure of funds made available pursuant to the Statewide Park Development and Community Revitalization Act of 2008 (Chapter 3.3 (commencing with Section 5640) of Division 5 of the Public Resources Code).

I. The secretary shall hold at least two public hearings to gather public input on program development before establishing the program guidelines and selection criteria. The Administrative Procedure Act (Chapter 3.5 (commencing with Section 11340) of Part 1) does not apply to the development and adoption of guidelines and selection criteria adopted pursuant to this section.

## APPENDIX P - DEFINITIONS

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

**Acquisition** means obtaining a fee interest or any other interest, including easement, leases, and development rights.

**Applicant** means an eligible organization requesting funding from this program to be administered by the State.

**CEQA** means the California Environmental Quality Act, Public Resources Code Section 21000 et seq.; Title 14, California Code of Regulations, Section 15000 et seq.

**Critically Underserved Community** means a community that either has less than three acres of usable parkland per 1,000 residents or is a disadvantaged community and that the community has insufficient or no park space and recreation facilities.

**Disadvantaged Community** (Per SB 859) means a community with a median household income less than 80% of the statewide average.

**Disadvantaged Community** (Per SB 535) means a community disproportionately affected by environmental pollution and other hazards and areas with concentrations of people that are low income, high unemployment, low levels of home ownerships, high rent burden, sensitive populations, or low levels of educational attainment (see Appendix D for guidance).

**Easement** means an interest in land entitling the holder thereof to a limited use or enjoyment of the land in which the interest exists.

**Environmental Justice** is the fair treatment of people of all races, physical and cognitive abilities, cultures and income with respect to the development, adoption, implementation, and enforcement of environmental laws, regulations and policies.

**Fair Market Value** means the value placed upon the property as supported by an appraisal that has been reviewed and approved by the California Department of General Services.

**Fiscal Sponsor** means a local public agency or a 501 (c)(3) non-profit organization that acts on behalf of the applicant on financial matters related to the Urban Greening Project.

**Fund or Funds** means the Greenhouse Gas Reduction Fund.

**Grant Agreement** means an arrangement between the State and grantee specifying the payment of funds by the State for the performance of specific Urban Greening Project objectives within a specific project performance period by the grantee.

**Grantee** means an applicant that has an agreement for grant funding with the State.

**Grants Administrator** means an employee of the State who manages the grants.

**Green Roof** means a vegetative layer grown on a rooftop to mitigate the urban heat island effect and improve energy efficiency by shading roof surfaces and removing heat from the air through evapotranspiration.

**Greenhouse Gases** means atmospheric gases that contribute to the greenhouse effect. Gases include, but are limited to, carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons, and sulfur hexafluoride.



**Greenhouse Gas (GHG) Reductions** means the sum of the GHG emission reductions and sequestration, minus any GHG emissions resulting from project implementation. GHG emissions reductions and net benefits are determined in accordance with ARB's quantification methodologies.

**Greenhouse Gas Reduction Fund (GGRF)** means the fund established via Senate Bill 1018 to receive the State's portion of proceeds from the quarterly Cap-and-Trade auctions.

**In-Kind** means non-cash donations from governmental or private sources, and includes volunteer labor, materials and services.

**Indirect/Overhead Costs** means expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, project or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a project; functions such as personnel, business services, information technology, janitorial, and salaries of supervisors and managers not directly related to the project and supported with timesheets; and overhead such as rent, utilities, supplies, etc.

**Joint Powers Authority** means any entity formed pursuant to Chapter 5 (commencing with Section 6500) of Division 7 of the Government code, if at least one of the parties to the joint powers agreement qualifies as an eligible applicant as described on page 2 of these guidelines.

**Nonprofit Organization** means any nonprofit corporation qualified to do business in California, and qualified under Section 501 (c) (3) of the Internal Revenue Code.

**Other Sources of Funds** means cash or in-kind contributions that are required or used to complete the Urban Greening project beyond the grant funds provided by this program.

**Pedestrian Facilities** means sidewalks, trails, crosswalks, walkways, and curb ramps that encourage pedestrian travel.

**Plant Palette** means a recommended list of plants (shrubs, trees, etc.) which are appropriate and sustainable for a given jurisdiction and/or urban environment, considering economic, environmental, and social factors such as rainfall, terrain, soil, maintenance requirements, appearance, desired function, and public use.

**Project Planning Costs** means costs associated with specific preparations necessary to execute eligible Urban Greening projects. Planning includes conceptual designs, pre-schematic work, such as initial architectural and engineering plans prepared during the preliminary project phase; schematic documents; technical consulting; construction design; preparation of construction bidding documents; permits or appraisals. Planning costs are distinct from "hard" project costs of actual construction or acquisition.

**Project** means the activity to be accomplished with grant funds, and other funds if necessary, that meet the intent of the statutory conditions.

**Project Life** means the expected timeframe when reductions will be achieved (see the Expenditure Record located at <https://arb.ca.gov/cc/capandtrade/auctionproceeds/expenditurerecords.htm> for specific timeframes).

**Project Performance Period** means the beginning and ending dates of the Grant Agreement. Eligible costs incurred during this period may be funded from the grant.

**Project Scope** means the description or activity of work to be accomplished by the Urban Greening project.

**Restore or Restoration** means to establish some of the structures, functions or dynamics of an indigenous (native) ecosystem.

**Riparian Habitat** means lands that contain habitat which grows close to, and which depends upon, soil moisture from a nearby fresh water source.

**Site Control** means applicant owns the project land or has other legal long-term interest with the landowner giving permission to develop the project and provide long-term maintenance, as applicable, satisfactory to the State.

**Special District** means any agency of the state for the local performance of governmental or proprietary functions within limited boundaries. "Special district" includes a county service area, a maintenance district or area, an improvement district or improvement zone, or any other zone or area formed for the purpose of designating an area within which a property tax rate will be levied to pay for a service or improvement benefitting that area. Special districts are not state government, cities, counties, school districts, Mello-Roos districts, benefit assessment districts, or redevelopment agencies.

**State** means the Air Resources Board, the California Natural Resources Agency, or its representative.

**Traditional Lands** means lands that are synonymous with aboriginal or indigenous cultural territories or areas generally defined by natural boundaries containing static and transient habitation sites used for subsistence hunting, fishing and gathering that may have fluctuated and overlapped over time and where religious practices were culturally significant to the Native American tribe or their ancestors.

**Urban Area** means a city within the State of California or a geographic area designated or defined as urban by an applicable plan covering the project area, including, but not limited to general plans, specific plans, or community plans (only for projects that do not qualify as a disadvantaged community under SB 535).

**Urban Forest** means those native or introduced trees and related vegetation in the urban and near-urban areas including, but not limited to, urban watersheds, soils and related habitats, street trees, park trees, residential trees, natural riparian habitats, and trees on other private and public properties (reference PRC 4799.09).

**Urban Forestry** means the cultivation and management of trees in urban areas for their present and potential contribution to the economic, physiological, sociological, and ecological well-being of an urban society (reference PRC 4799.09).

**Urban Greening** means a community-based effort to plan, plant, care, and manage flora, structures and spaces, which lead to increased forest canopy, reduced storm water runoff, improved air and water quality, energy conservation, open space and ultimately, more sustainable communities.

**Urban Heat Island** means a metropolitan area which is significantly warmer than its surrounding rural areas due to modification of the land surface by urban development.

**Willing Seller** means the project property owner(s) is/are willing participant(s) in the proposed real property transaction and at a purchase price not to exceed fair market value as verified by the State.

