


<b>Labor Commissioner, State of California</b> Department of Industrial Relations Division of Labor Standards Enforcement Bureau of Field Enforcement- Public Works 7575 Metropolitan Avenue, Suite 210 San Diego, CA 92108 TEL: (619) 767-2033                      FAX: (619) 767-2035	Gavin Newsom, Governor  
HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE SERVICE 23311 MADERO MISSION VIEJO CA 92691	
DATE: December 23, 2019	In Reply Refer to Case No: 40-68361-341


**NOTICE OF INVESTIGATION**

Project Name Sewer Manhole Inspection and Evaluation SW296		Project No.
Prime Contractor HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE SERVICE		
Subcontractor		

You are hereby advised that the Division of Labor Standards Enforcement is commencing an investigation to confirm compliance with the Public Work Laws (California Labor Code, Division 2, Part 7) by the above-named contractor(s). After an investigation, if it is determined that wages and/or penalties are due, a Civil Wage and Penalty Assessment will be issued pursuant to Labor Code section 1741.

STATE LABOR COMMISSIONER

By     *Selene Barillas*      
 Selene Barillas  
 Industrial Relations Representative

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HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE SERVICE 23311 MADERO MISSION VIEJO CA 92691 MARK THOMAS BUREL	
DATE: December 23, 2019	In Reply Refer to Case No: 40-68361-341

**REQUEST FOR PAYROLL RECORDS**

Project Name Sewer Manhole Inspection and Evaluation SW296	Awarding Body CITY OF CHULA VISTA	Project No. 0
Prime Contractor HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE SERVICE		
Subcontractor		

This letter constitutes a written, statutory request from the Division of Labor Standards Enforcement (the "Division") for public works payroll information as authorized by Labor Code section 1776. Under that law, and the regulations thereunder found at Title 8 of the California Code of Regulations (hereafter, "8 CCR") sections 16400 and 16401, contractors and subcontractors are required to keep accurate payroll records and to furnish a certified copy of such records to a representative of the Division upon written request. The required format for furnishing these records to the Division is enclosed herewith (Public Works Payroll Reporting Form) known as Form A-1-131. The records provided must be "certified," which means verified by a specified, written declaration made under penalty of perjury. The required words of certification appear on Form A-1-131, and are also found at 8 CCR section 16401(a). Compliance with this request requires that Form A-1-131 be accurately completed and certified. The Division may accept an alternative reporting format. However, the Division's acceptance of any alternate format will be conditioned upon a requirement that the alternate format contains all of the information identified on Form A-1-131 and be certified.

To obtain credit against the prevailing wage obligation for permissible Employer Payments described at Labor Code section 1773.1 and 8 CCR 16000, please also complete and return the enclosed Statement of Employer Payments (Form PW26).

**Within ten (10) working days from the date of receipt of this request, please submit certified payroll information as described on Form A-1-131 for all workers who were employed by HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE on the above-identified public works project during the period from the beginning of the project to the end of the project. The information requested must be timely submitted to the address found in the upper left hand corner of this request and to the attention of the Division's representative whose name appears below.**

Failure to provide this certified payroll information to the Division within ten working days from the date of receipt of this request will subject the contractor or subcontractor identified above to forfeiture of a penalty of \$100.00 for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated, in accordance with Labor Code section 1776(h).

In addition to such monetary penalties, Labor Code section 1777.1(c) provides that a contractor or subcontractor may be subject under specified circumstances to debarment for not less than one year or more than three years from bidding on, or being awarded or performing as a subcontractor on a public works project.

Please be aware that contractors and subcontractors on public works projects may also be required to electronically furnish payroll records directly to the Labor Commissioner, either monthly or more frequently, pursuant to Labor Code section 1771.4. Note that any such obligation to electronically furnish such records directly to the Labor Commissioner is separate and distinct from the obligation to timely comply with this written request, even if the same or similar records may have already been electronically furnished directly to the Labor Commissioner.

**Timely compliance with this written request for certified payroll information is required by law.**

STATE LABOR COMMISSIONER

By Selene Barillas  
 Selene Barillas  
 Industrial Relations Representative



# PUBLIC WORKS PAYROLL REPORTING FORM

California  
Department of  
Industrial Relations

NAME OF CONTRACTOR OR SUBCONTRACTOR		CONTRACTOR'S LICENSE NO. / SPECIALTY LICENSE NO.		ADDRESS		PROJECT OR CONTRACT NO. / PROJECT AND LOCATION								
PAYROLL NO.:		FOR WEEK ENDING:		SELF-INSURED CERTIFICATE NO. / WORKERS COMPENSATION POLICY NO.:		(3) DEDUCTIONS, CONTRIBUTIONS AND PAYMENTS								
(1) NAME, ADDRESS AND SOCIAL SECURITY NUMBER OF EMPLOYEE	(2) NO. OF HOURS WITH EMPLOYER	(3) WORK CLASSIFICATION	(4) DAY	(5) TOTAL HOURS	(6) HOURLY RATE OF PAY	(7) GROSS AMOUNT EARNED		(8) NET WAGES PAID FOR WEEK	CHECK SOC.					
						THIS PROJECT	ALL PROJECTS							
						FED. TAX	STATE TAX	SDI	VAC. HOLIDAY	HEALTH & WELF.	PENSION			
						TRAINING	FUND ADMIN	DUES	TRAV. SUBS.	SAVINGS	OTHER*	TOTAL DEDUCTIONS		
						FED. TAX	STATE TAX	SDI	VAC. HOLIDAY	HEALTH & WELF.	PENSION			
						TRAINING	FUND ADMIN	DUES	TRAV. SUBS.	SAVINGS	OTHER*	TOTAL DEDUCTIONS		
						FED. TAX	STATE TAX	SDI	VAC. HOLIDAY	HEALTH & WELF.	PENSION			
						TRAINING	FUND ADMIN	DUES	TRAV. SUBS.	SAVINGS	OTHER*	TOTAL DEDUCTIONS		
						FED. TAX	STATE TAX	SDI	VAC. HOLIDAY	HEALTH & WELF.	PENSION			
						TRAINING	FUND ADMIN	DUES	TRAV. SUBS.	SAVINGS	OTHER*	TOTAL DEDUCTIONS		

**CERTIFICATION MUST be completed**  
(See reverse side)

\*OTHER - Any other deductions, contributions and/or payments whether or not included or required by prevailing wage determinations must be separately listed. Use extra sheet(s) if necessary.

S = STRAIGHT TIME  
O = OVERTIME  
SDI = STATE DISABILITY INSURANCE

**NOTICE TO PUBLIC ENTITY**

**For Privacy Considerations**

**Fold back along dotted line prior to copying for release to general public (private persons).**

(Paper Size then 8-1/2 x 11 inches)



I, \_\_\_\_\_, the undersigned, am the  
(Name – print)

\_\_\_\_\_ with the authority to act for and on behalf of  
(Position in business)

\_\_\_\_\_, certify under penalty of perjury  
(Name of business and/or contractor)


that the records or copies thereof submitted and consisting of \_\_\_\_\_  
(Description, number of pages)

are the originals or true, full, and correct copies of the originals which depict the payroll record(s)  
of the actual disbursements by way of cash, check, or whatever form to the individual or  
individuals named.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

A public entity may require a stricter and/or more extensive form of certification.

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DATE: December 23, 2019	In Reply Refer to Case No: 40-68361-341

**NOTICE OF APPRENTICESHIP COMPLIANCE**

Project Name Sewer Manhole Inspection and Evaluation SW296	Awarding Body CITY OF CHULA VISTA	Project No. 0
Prime Contractor HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE SERVICE		
Subcontractor		

The Division of Labor Standards Enforcement (DLSE) is charged with ensuring compliance with the apprenticeship requirements of Labor Code Section 1777.5 and California Code of Regulations Title 8, §227 et seq. for public works projects. Verification of compliance with these requirements is a routine part of DLSE's public works monitoring and investigation.

Please submit a response to the allegations above, if any, and the following evidence of compliance with the apprenticeship requirements of Labor Code Section 1777.5 postmarked by January 6, 2020 :

1. DAS140 – Contract Award Information (or equivalent) with proof of submission to all applicable apprenticeship committees;
2. DAS142 – Request for Dispatch of an Apprentice (or equivalent) with proof of submission to all applicable apprenticeship committees;
3. Accounting and proof of payment of the training fund contributions to California Apprenticeship Council or approved apprenticeship program.

Non-compliance will result in civil penalties and/or denial of the right to bid on or receive public works contracts for a period of up to three (3) years per California Labor Code Section 1777.7.

STATE LABOR COMMISSIONER

By Selene Barillas  
 Selene Barillas  
 Industrial Relations Representative

## Statement of Employer Payments



Date:	December 23, 2019	In Reply, Refer to Case No:	40-68361-341
Prime:	HOFFMAN SOUTHWEST CORP. dba PROFESSIONAL PIPE SERVICE		
Subcontractor:	0		
PROJECT NAME:	Sewer Manhole Inspection and Evaluation SW296		
PROJECT CONTRACT NO.:	0	County/location:	San Diego
<b>HEALTH AND WELFARE</b>			

NAME OF PLAN \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

ADMINISTRATOR \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

CLASSIFICATION(S) USED	CONTRIBUTION PER CLASSIFICATION PER HOUR

CONTRIBUTIONS:      WEEKLY \_\_\_\_\_      MONTHLY \_\_\_\_\_      QUARTERLY \_\_\_\_\_      ANNUALLY \_\_\_\_\_

**PENSION**

NAME OF PLAN \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

ADMINISTRATOR \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

CLASSIFICATION(S) USED	CONTRIBUTION PER CLASSIFICATION PER HOUR

CONTRIBUTIONS:      WEEKLY \_\_\_\_\_      MONTHLY \_\_\_\_\_      QUARTERLY \_\_\_\_\_      ANNUALLY \_\_\_\_\_

**VACATION/HOLIDAY**

NAME OF PLAN \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

ADMINISTRATOR \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

CLASSIFICATION(S) USED	CONTRIBUTION PER CLASSIFICATION PER HOUR

CONTRIBUTIONS:      WEEKLY \_\_\_\_\_      MONTHLY \_\_\_\_\_      QUARTERLY \_\_\_\_\_      ANNUALLY \_\_\_\_\_

**TRAINING**

NAME OF PLAN \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

ADMINISTRATOR \_\_\_\_\_ Address, City and Zip \_\_\_\_\_

CLASSIFICATION(S) USED	CONTRIBUTION PER CLASSIFICATION PER HOUR

CONTRIBUTIONS:      WEEKLY \_\_\_\_\_      MONTHLY \_\_\_\_\_      QUARTERLY \_\_\_\_\_      ANNUALLY \_\_\_\_\_

IF YOU USE OTHER PLANS NOT LISTED ABOVE, YOU MAY USE THE BACK OF THIS FORM TO PROVIDE THIS ADDITIONAL INFORMATION

STATE OF CALIFORNIA  
DEPARTMENT OF INDUSTRIAL RELATIONS - DIVISION OF LABOR STANDARDS ENFORCEMENT

**CERTIFICATION OF SERVICE BY MAIL  
(C.C.P. 1013a) OR CERTIFIED MAIL**

I, Lea Lopez, do hereby certify that I am a resident of or employed in the County of  
San Diego, over 18 years of age, and not a party to the within action, and that I am employed at  
and my business address is:

Division of Labor Standards Enforcement  
Bureau of Field Enforcement  
7575 Metropolitan Drive, Suite 210  
San Diego, CA 92108

On December 23, 2019, I served the within: (1) Notice of Investigation; (2) Request for Information, Awarding Body; (3) Request for Payroll Records; (4) Statement of Employer Payments; (5) Public Works Payroll Reporting Form A1-131; and (6) Notice of Apprenticeship Compliance by placing a true copy thereof in an envelope addressed as follows:

CITY OF CHULA VISTA  
276 4TH AVENUE  
CHULA VISTA, CA 91910  
Elizabeth Briggs  
Documents 1 and 2

HOFFMAN SOUTHWEST CORP. dba  
PROFESSIONAL PIPE SERVICE  
23311 MADERO  
MISSION VIEJO CA 92691  
MARK THOMAS BUREL  
Documents 1, 3, 4, 5 and 6 only

HOFFMAN SOUTHWEST CORP. dba  
PROFESSIONAL PIPE SERVICE c/o  
UNISEARCH, INC.  
1780 BARNES BLVD SW  
TUMWATER WA 98512  
Documents 1, 3, 4, 5 and 6 only

and then sealing the envelope and with postage and certified mail fees (if applicable) thereon fully prepaid, and then depositing it in the United States mail in San Diego by:

- Ordinary first class mail  
 Certified mail  
 Registered mail

*I certify under penalty of perjury that the foregoing is true and correct*

Executed on December 23, 2019, at San Diego, County of San Diego, California

  
SIGNATURE

STATE CASE NO.  
40-68361-341